

# **Unbound or Distant planetary mass population detected by Gravitational Microlensing**

**—Jupiter-mass free-floating planets are common—**



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MOA collaboration  
OGLE collaboration



# Free-floating planet



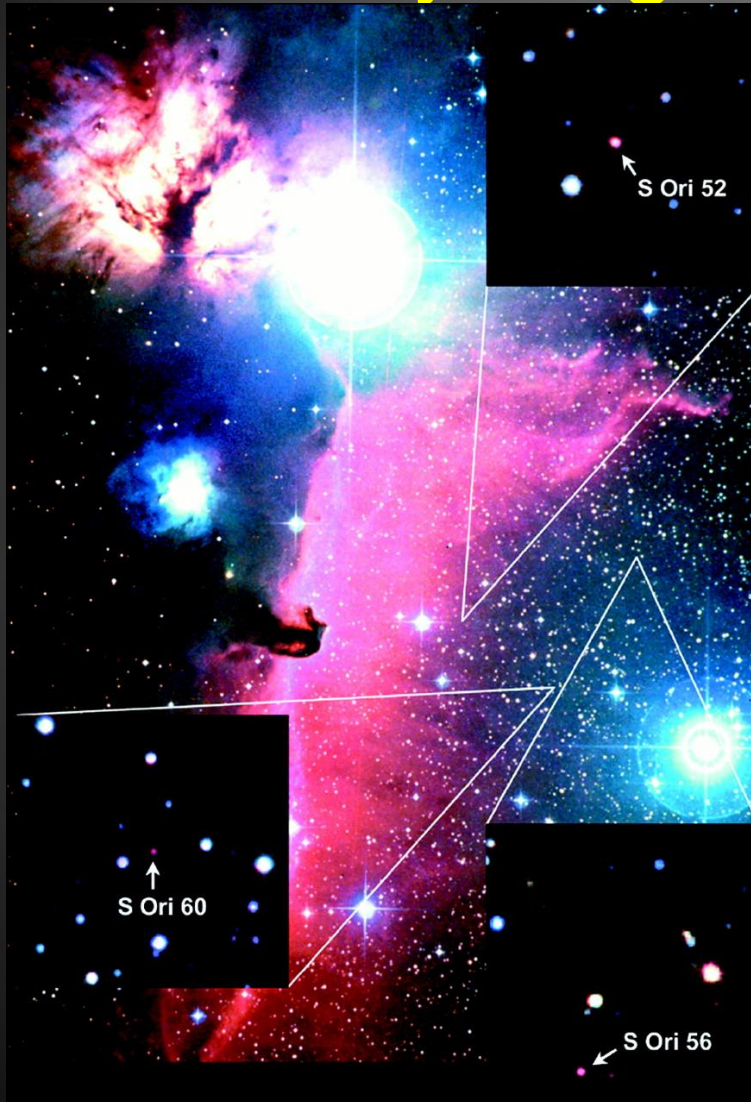
Planetary-mass objects that is not orbiting about any host star called :

- Free-floating planet
- Rogue planet
- Orphan planet
- Interstellar planet

Can we call them “Planet”? --- still in debate

- If they formed around a host star, and scattered out from orbit, then we may call them a planet.
- However, others believe that the definition of 'planet' should depend on current observable state, and not origin
- They may form on their own through gas cloud collapse similar to star formation; in which case they would never have been planets. → “planetary-mass object” or ” sub brown dwarf”

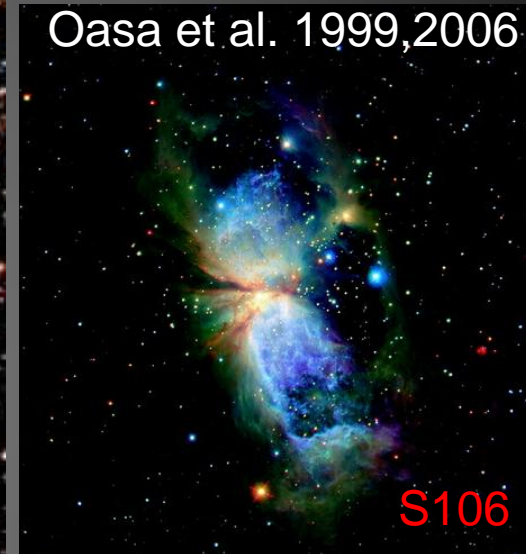
# Free-floating planetary-mass objects in young star forming region



Zapatero Osorio, et al.  
2000



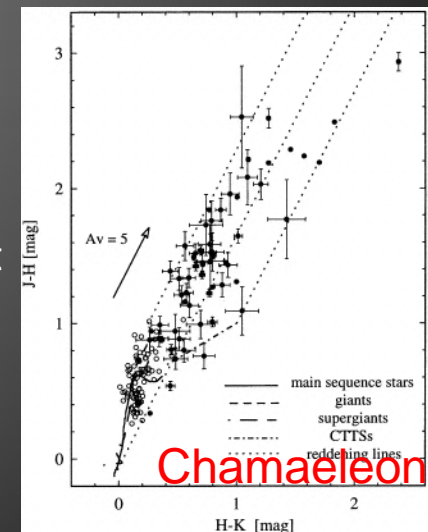
Oasa et al. 1999,2006



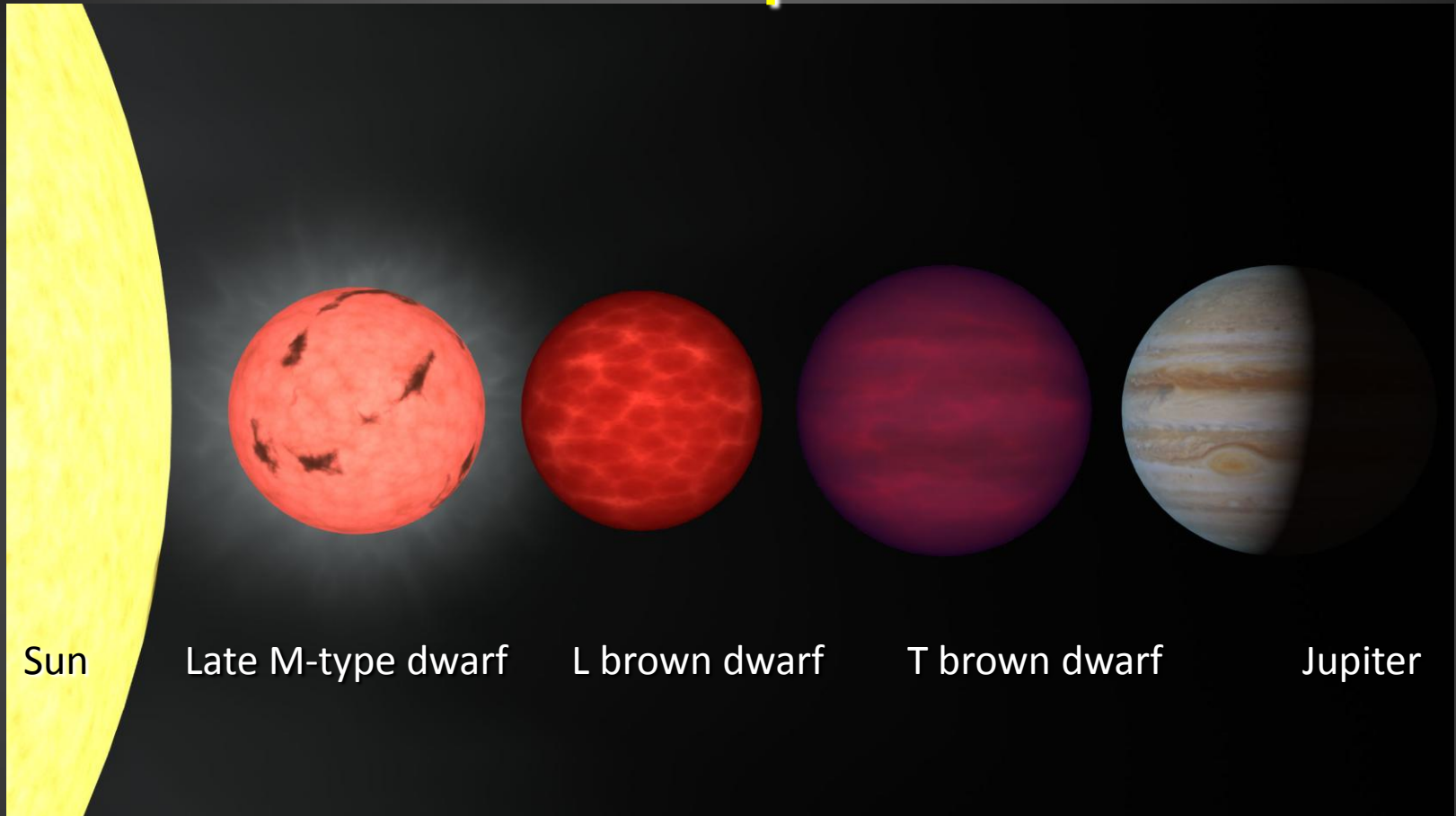
$M \sim 5-15 M_J$

However, Large uncertainty in

- photometric mass measurement
- their abundance



# Size comparison



Sun

Late M-type dwarf

L brown dwarf

T brown dwarf

Jupiter

Mass : 1 0 5 0  
1 (Jupiter mass)

7 5

6 5

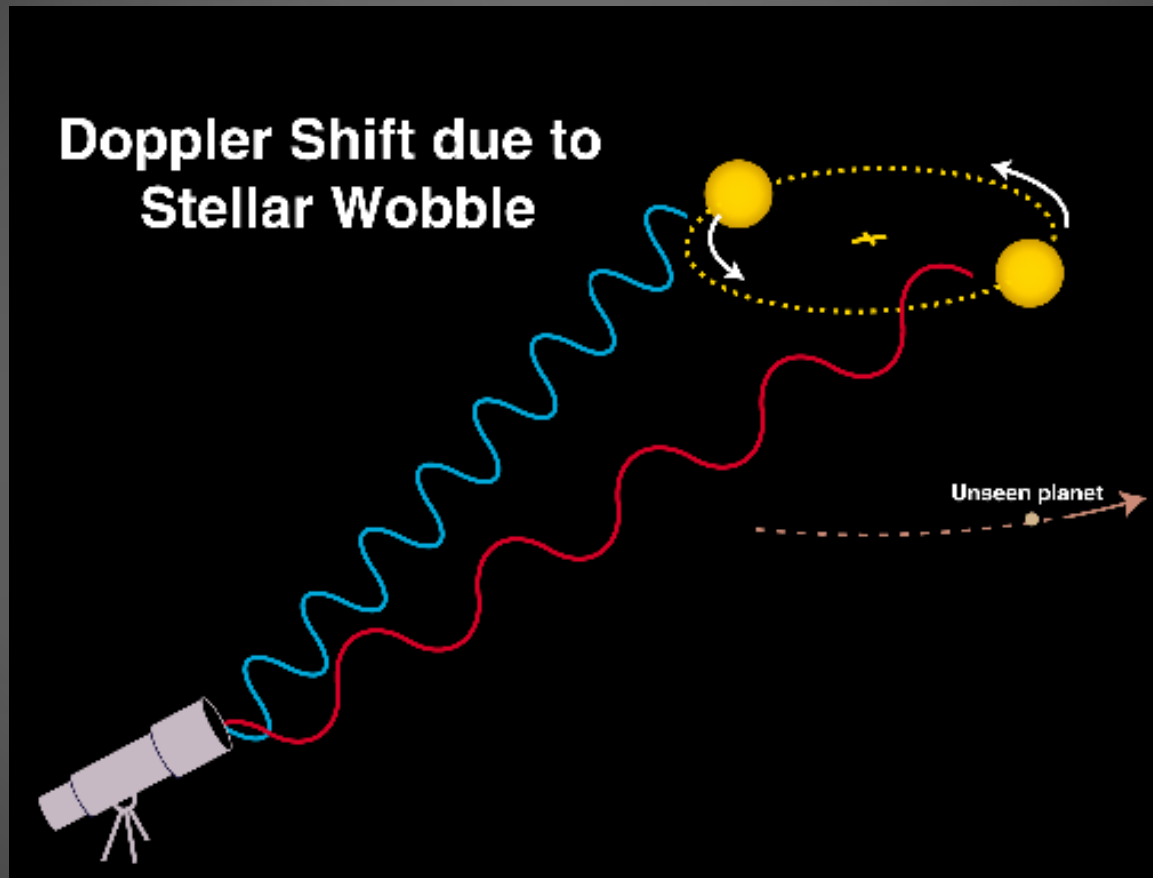
3 0



# What we learned about exoplanets?

- The first exoplanet was discovered in 1995.
- > 5 0 0 exoplanets have been discovered.
- >30% of solar type stars have planets  
( >6%–7% of FGK-type stars have one or more gas giant planet within 5AU [Marcy et al. 2005])
- Variety of planetary systems
- All of them orbit around their host stars  
    ← because they need host stars to be detected

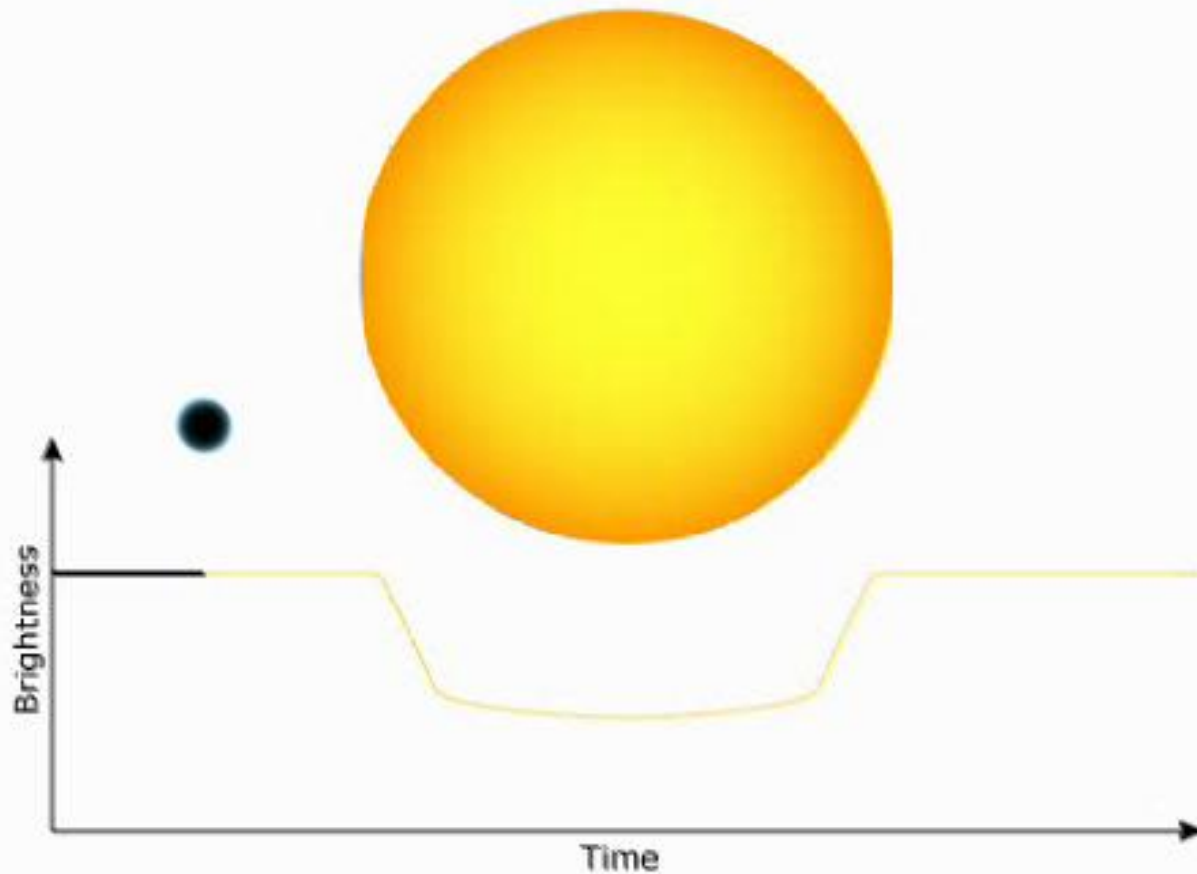
# 1.Radial Velocity



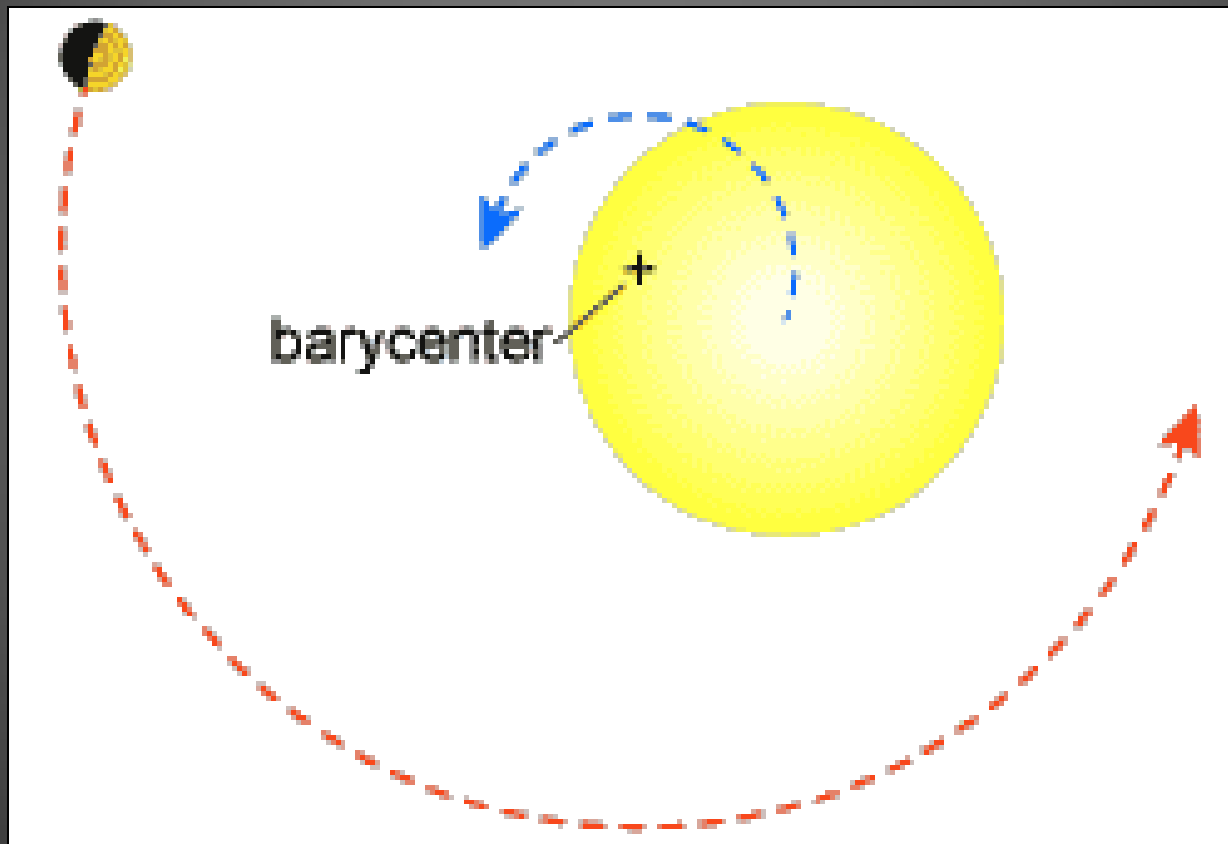


## 2. Transit

Light Curve of a Star During Planetary Transit

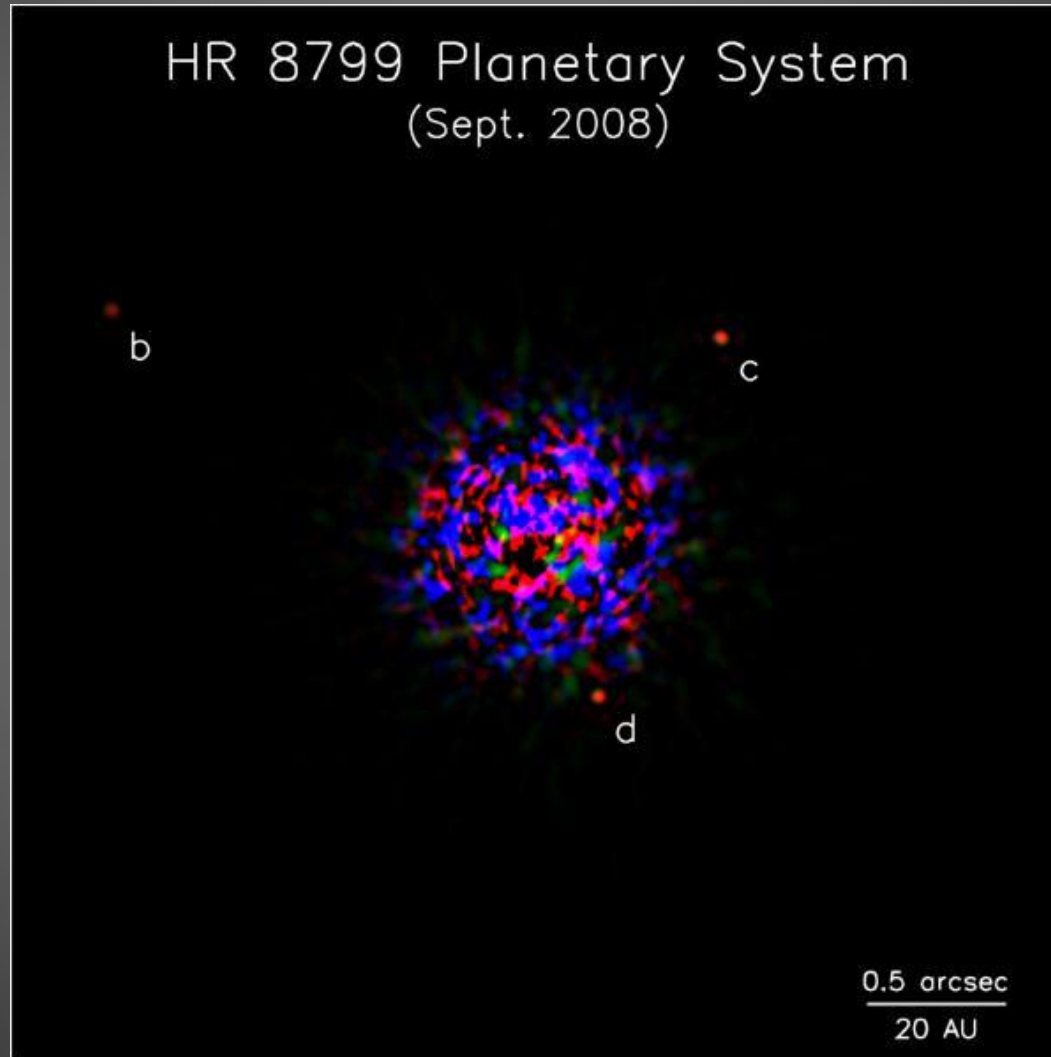


### 3. Astrometry

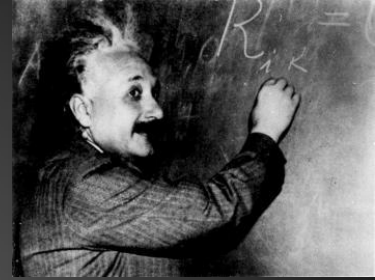




# 4. Direct imaging



# 5. Gravitational Microlensing



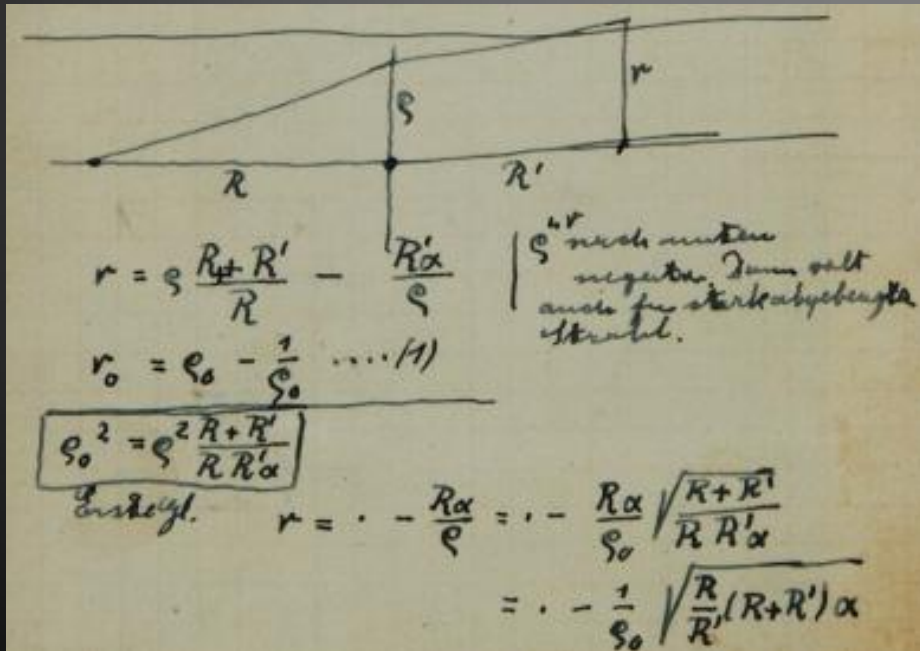
## LENS-LIKE ACTION OF A STAR BY THE DEVIATION OF LIGHT IN THE GRAVITATIONAL FIELD

SOME time ago, R. W. Mandl paid me a visit and asked me to publish the results of a little calculation, which I had made at his request. This note complies with his wish.

The light coming from a star  $A$  traverses the gravitational field of another star  $B$ , whose radius is  $R_0$ . Let there be an observer at a distance  $D$  from  $B$  and

- Einstein 1936a, Science 84, 50 predicted that foreground star's gravity can magnify the apparent brightness from the background star when they perfectly aligned.

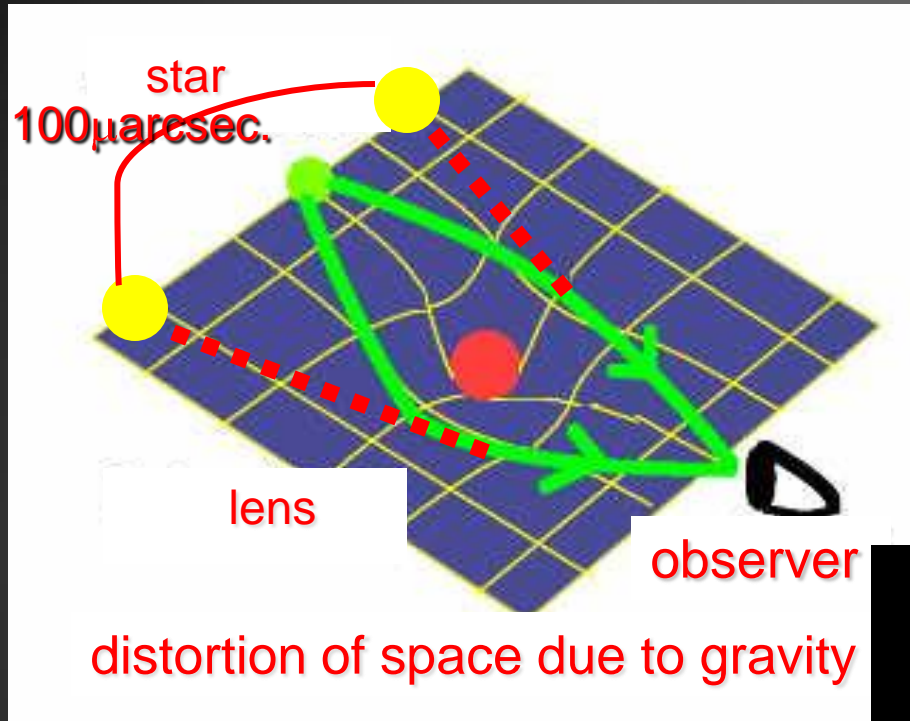
“there is no great chance of observing this phenomenon.”



- Einstein 1936b (private letter to Science editor) “Let me also thank you for your cooperation with the little publication, which Mister Mandl squeezed out of me. It is of little value, but it makes the poor guy happy.”

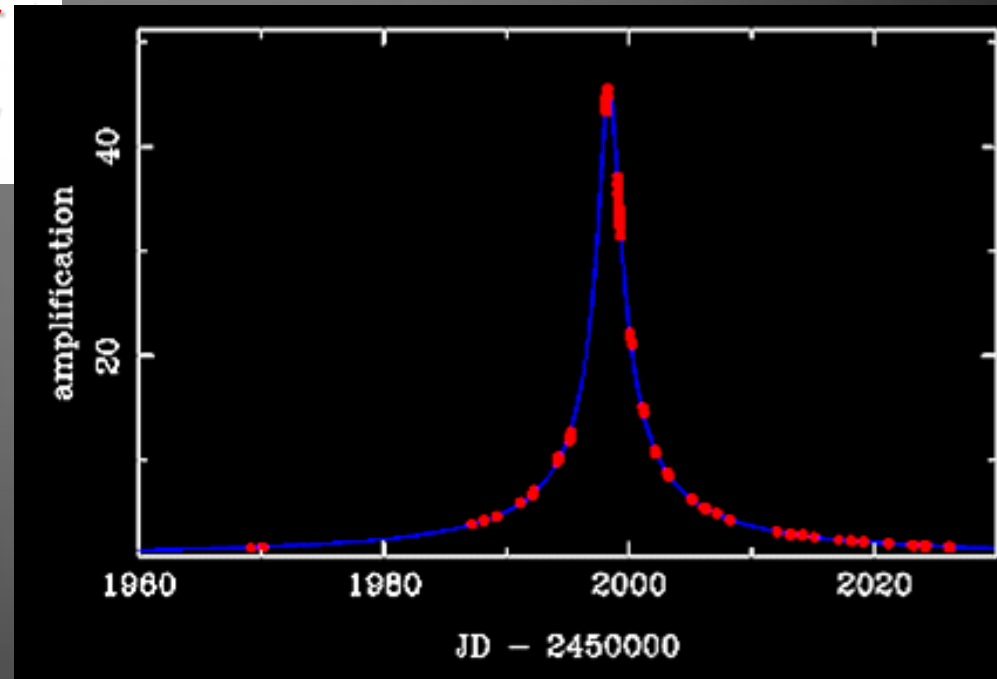


# Gravitational Microlensing



☞ If a lens is a star, elongation of images is an order of  $100 \mu\text{arcsec.}$

☞ Just see a star magnified

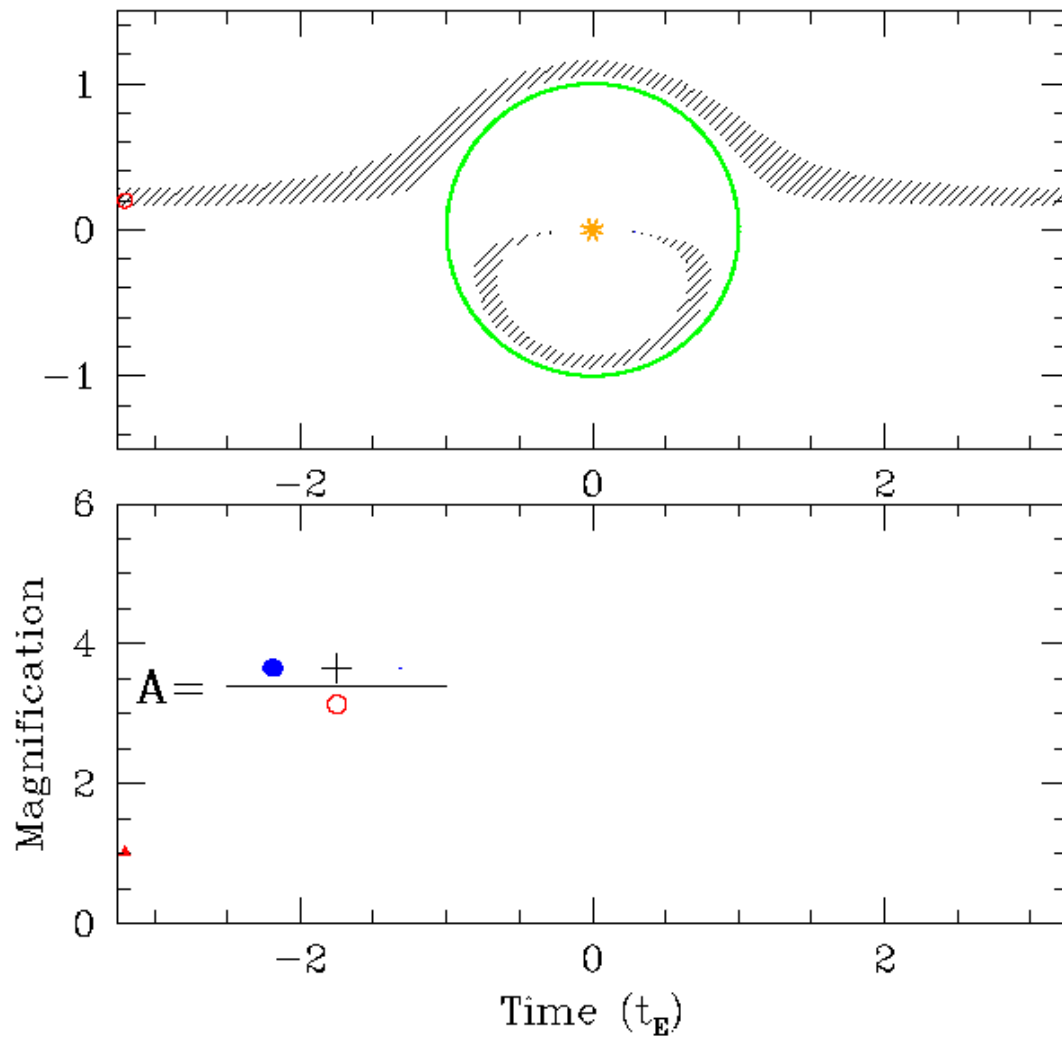


# Plastic lens

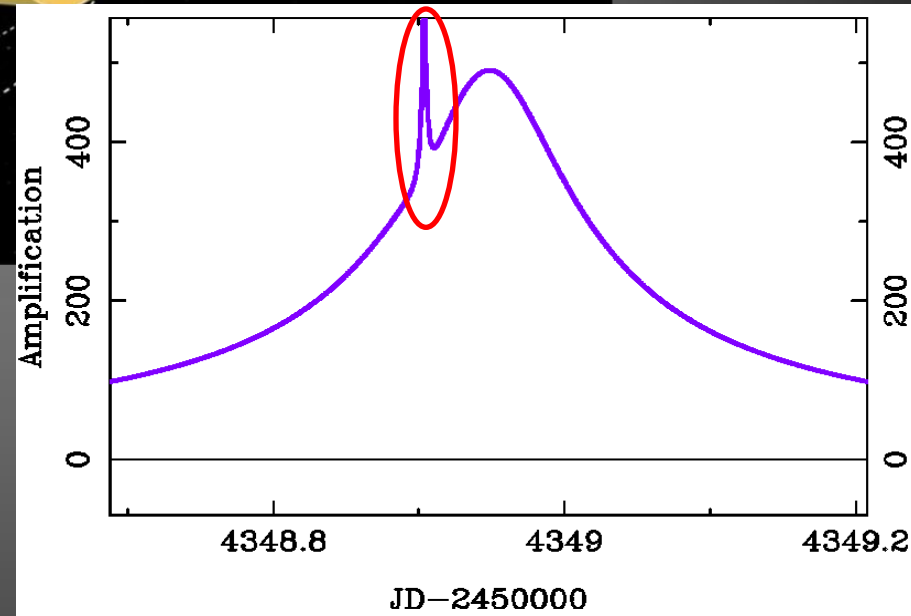
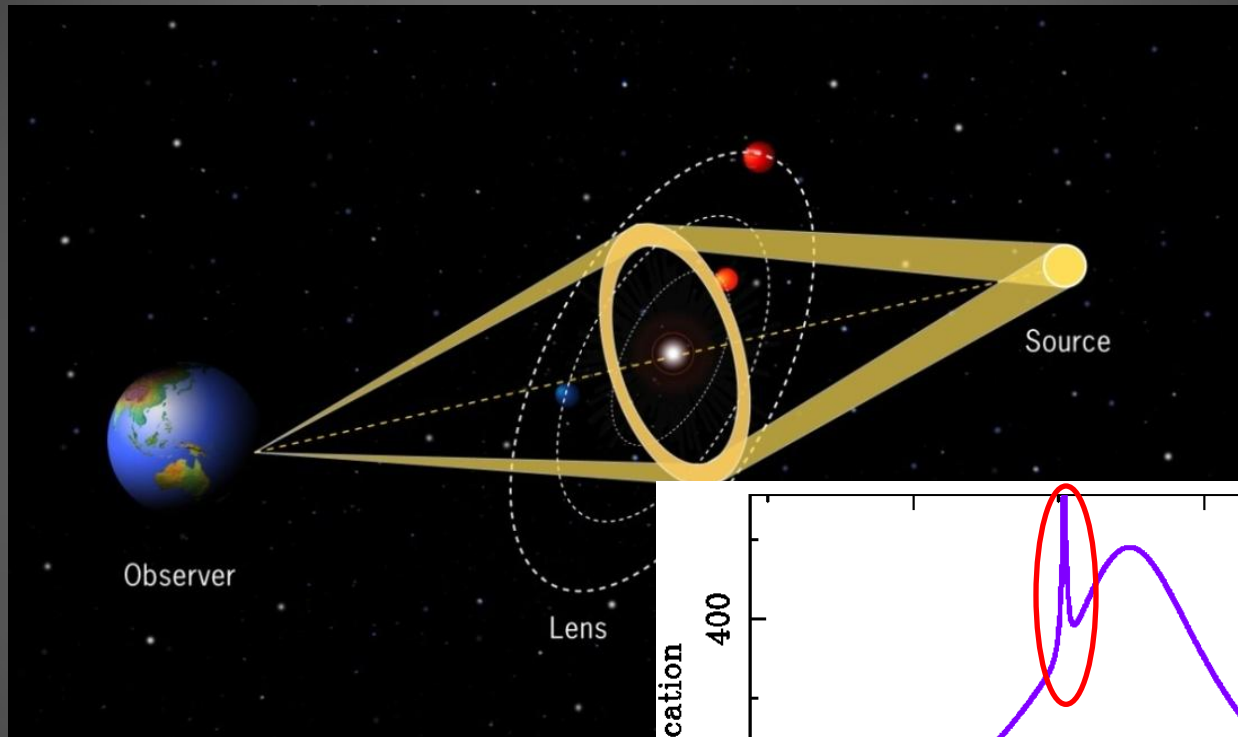




# Single lens



# planetary microlensing

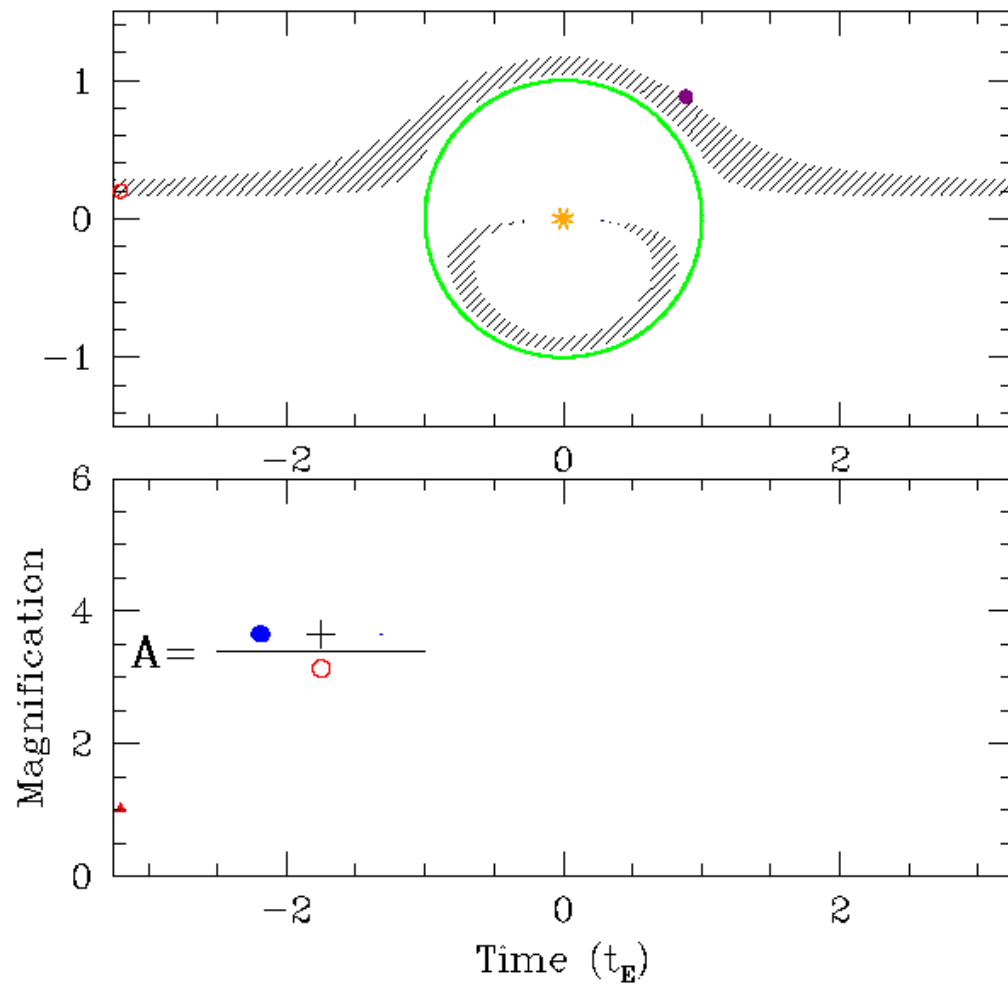


# Plastic binary lens





# Planetary lens

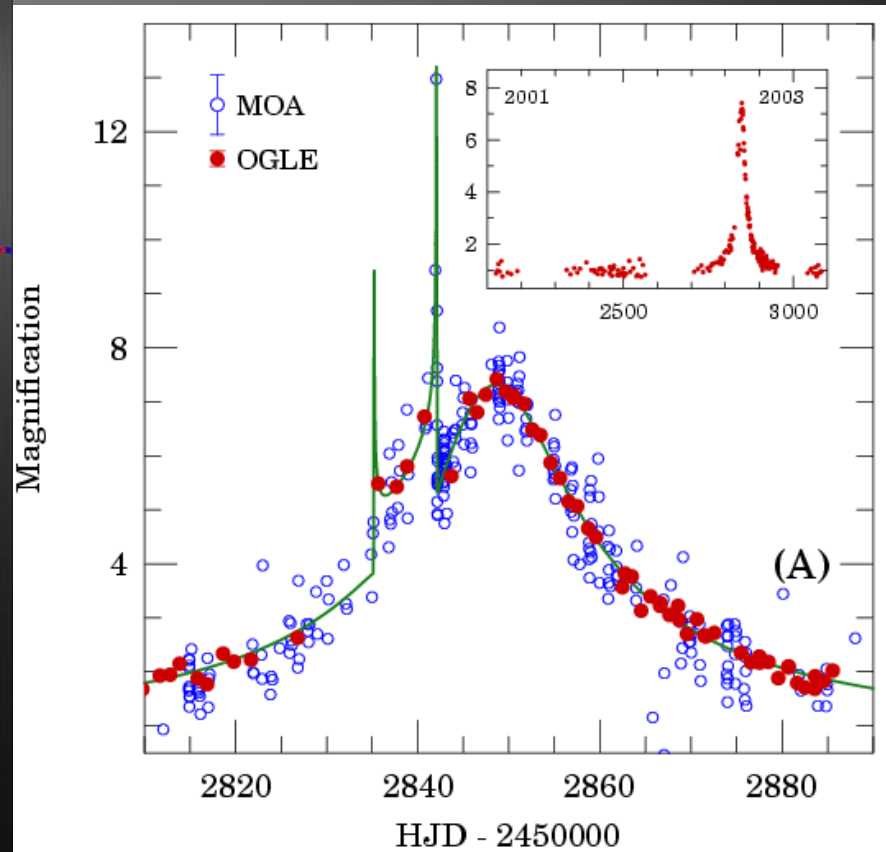
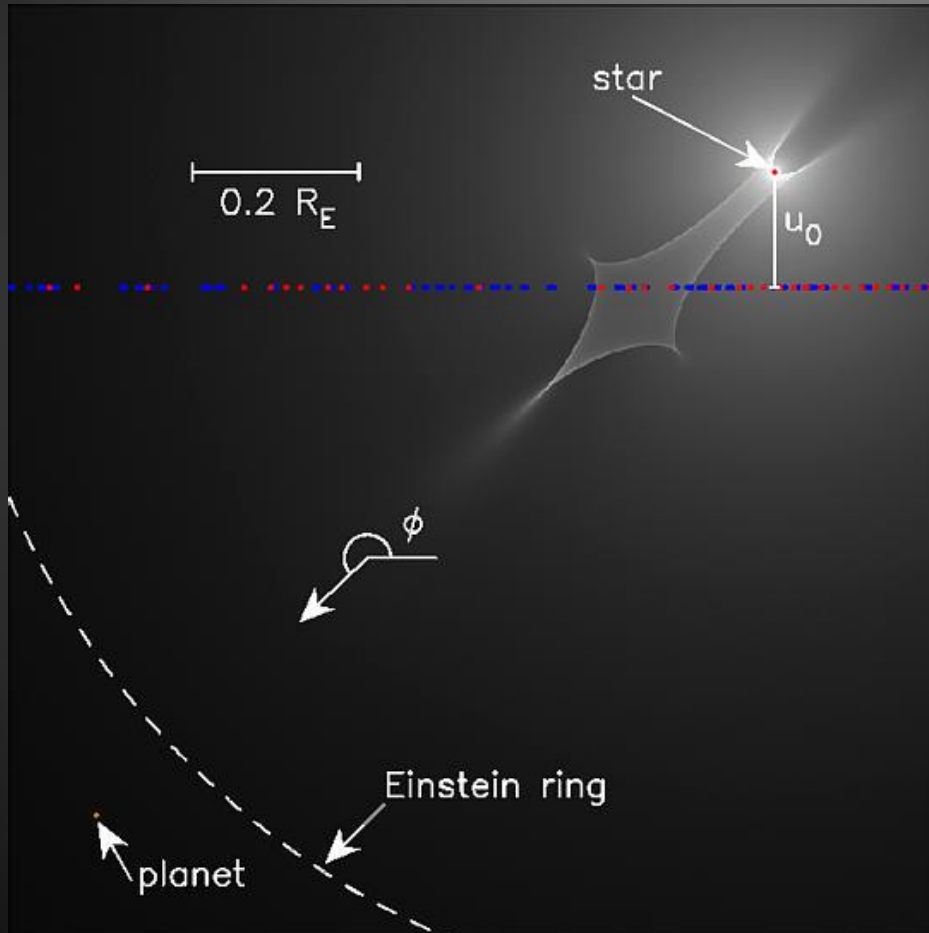


# The first planet via microlensing

OGLE 2003-BLG-235/MOA 2003-BLG-53

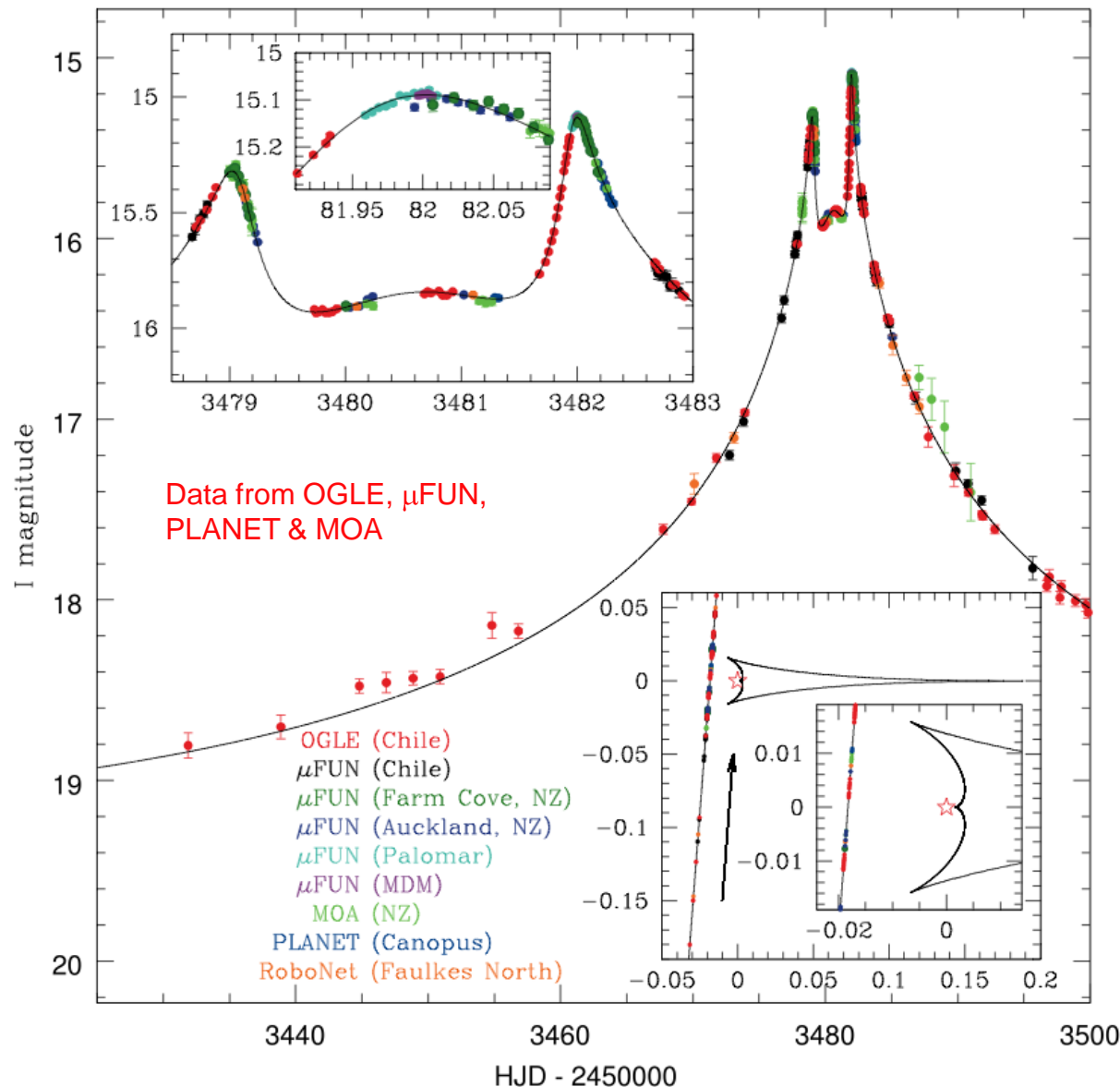
Magnification map

Mass :  $\sim M_J$  Sep. :  $\sim 3\text{AU}$



# 2<sup>nd</sup> Exoplanet Discovery by $\mu$ lensing

OGLE 2005-BLG-71  
(Udalski, et. al. 2006  
Dong et al. 2008)



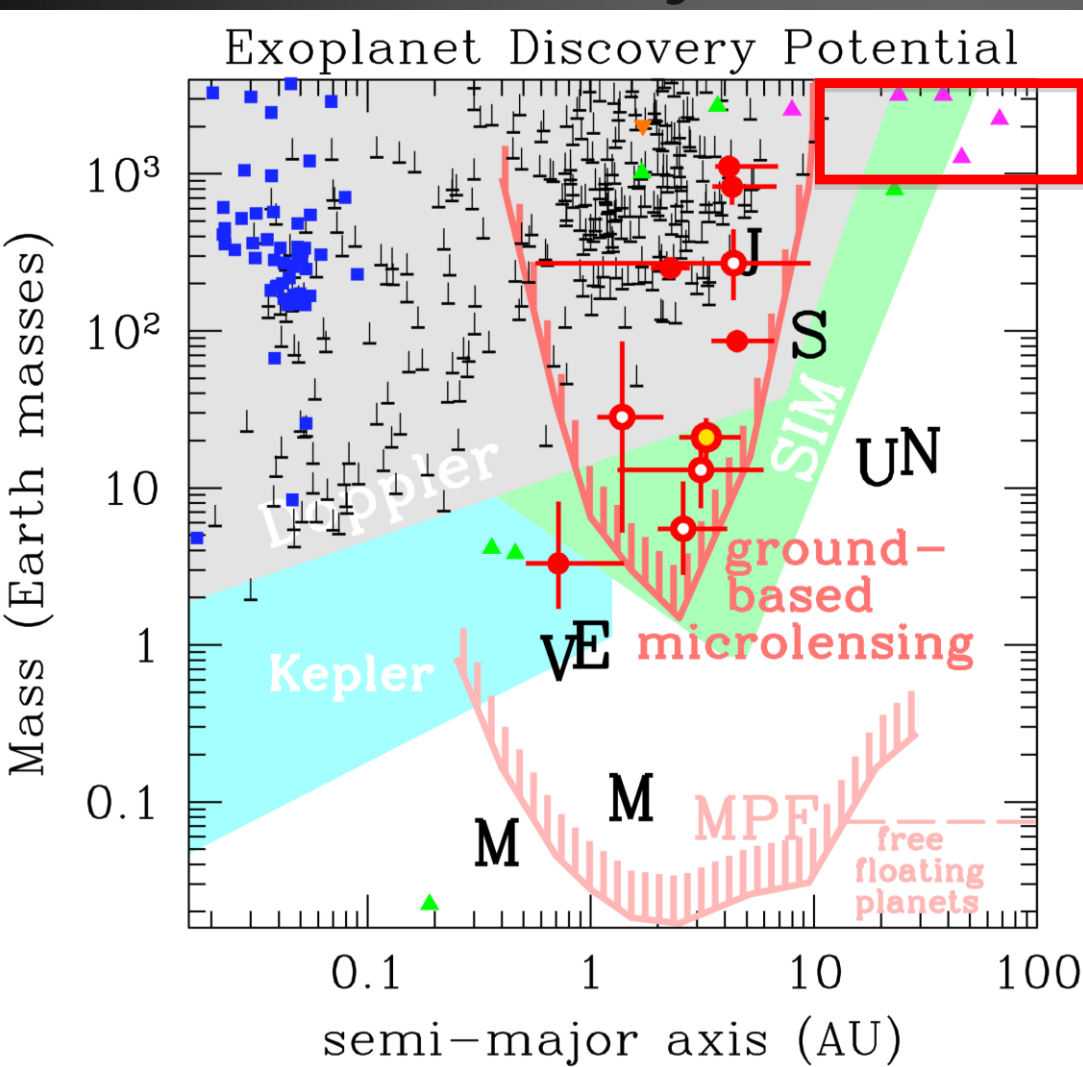
- $M_L = 0.46 \pm 0.04 M_\odot$
- $D_L = 3.3 \pm 0.4 \text{ kpc}$
- $M_p = 3.5 \pm 0.3 M_J$
- $\text{Sep} = 3.6 \pm 0.2 \text{ AU}$
- $V \sim 103 \text{ km/s}$  (HST)

The most massive  
Planet at wide sep for  
M-dwarf  
Challenging to form in  
core-accretion model

Thick disk



# Sensitivity of various methods



- RV
- transit
- Direct image
- Microlensing :  
not rely on flux from host



- 1-6 AU : beyond snow line
- small planet : down to Earth
- Faint star : M-dwarf, brown dwarf
- No host : free floating planet
- Far system: galactic distribution

# MOA (since 1995)



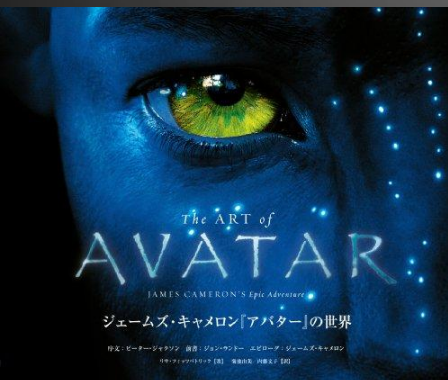
## (Microlensing Observation in Astrophysics)

( New Zealand/Mt. John Observatory, Latitude :  $44^{\circ}\text{S}$ , Alt: 1029m )





# New Zealand



# MOA (until ~1500) (the world largest bird in NZ)



- height: 3.5m
- weight: 250kg
- can not fly
- Extinct 500 years ago  
(Maori ate them)



# MOA-II 1.8m

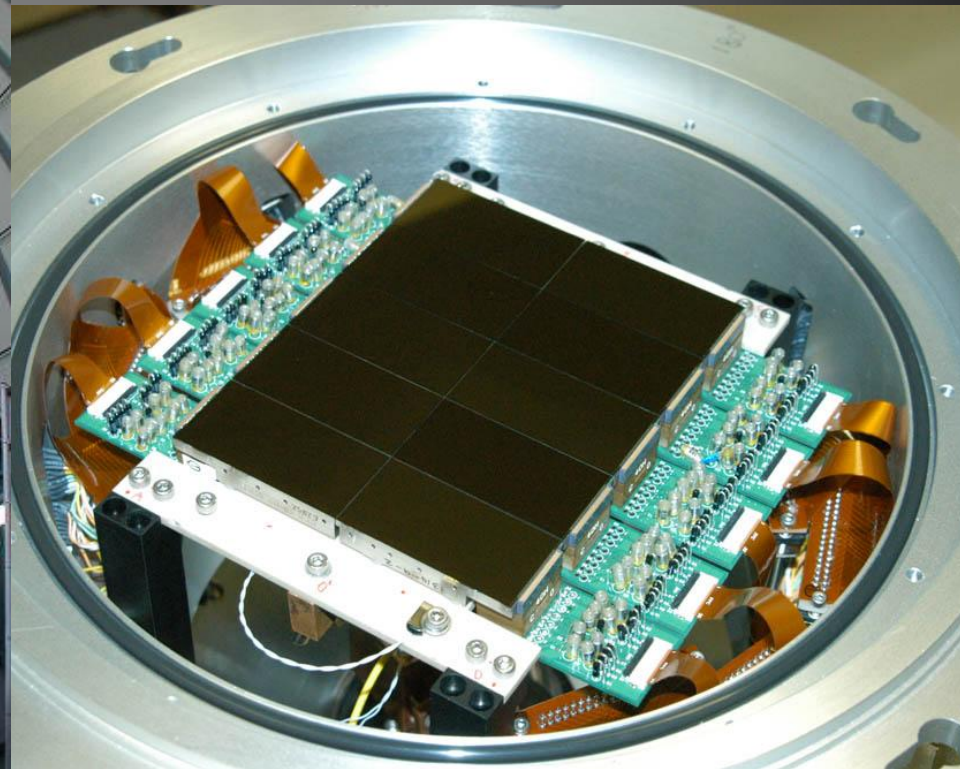
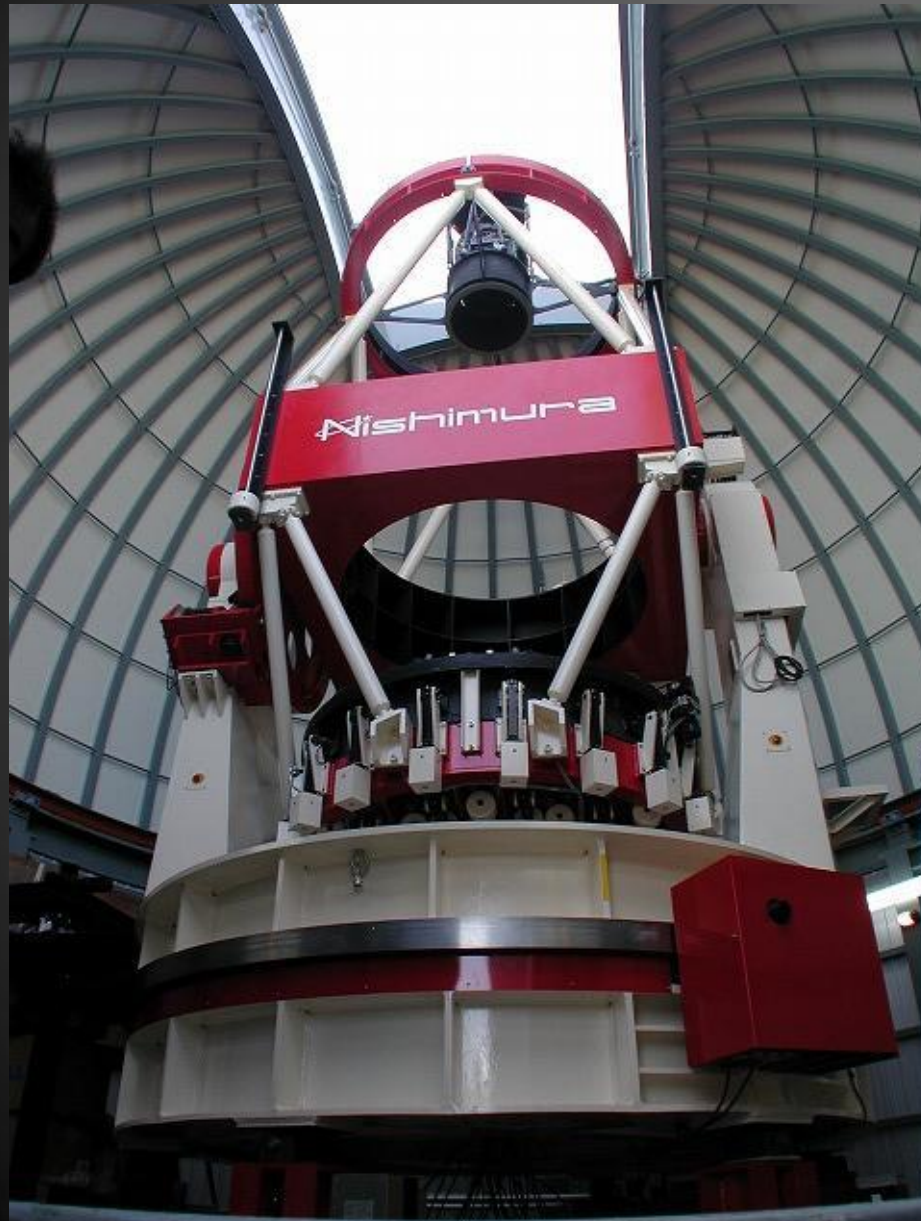


Mirror : 1.8m

CCD : 80M pix.(12x15cm)

FOV : 2.2 deg.<sup>2</sup>

(10 times as full moon)



# Survey towards the Galactic Bulge

👉 why? ➔

Probability:

Microlensing :  $\sim 10^{-6}$  events/yr/star

Planetary event :  $\sim 10^{-2}$

➔ need Wide Field for Many stars



Time scale ~ 30days ( $M_{\odot}$ )  
~ a few days ( $M_{\text{Jup}}$ )  
~ hours ( $M_{\oplus}$ )

➔ need high cadence

# Microlensing observation global network

## Survey Group

MOA(NewZealand)

OGLE(Chile),

- 👉 Wide field
- 👉 Low cadence

Micro  
lensing  
Alert



Anomaly  
Alert



## Follow-up Group

PLANET

$\mu$ FUN

Robonet-II

MiNDSTEp

- Pointing each candidate  
High cadence



# Observational fields



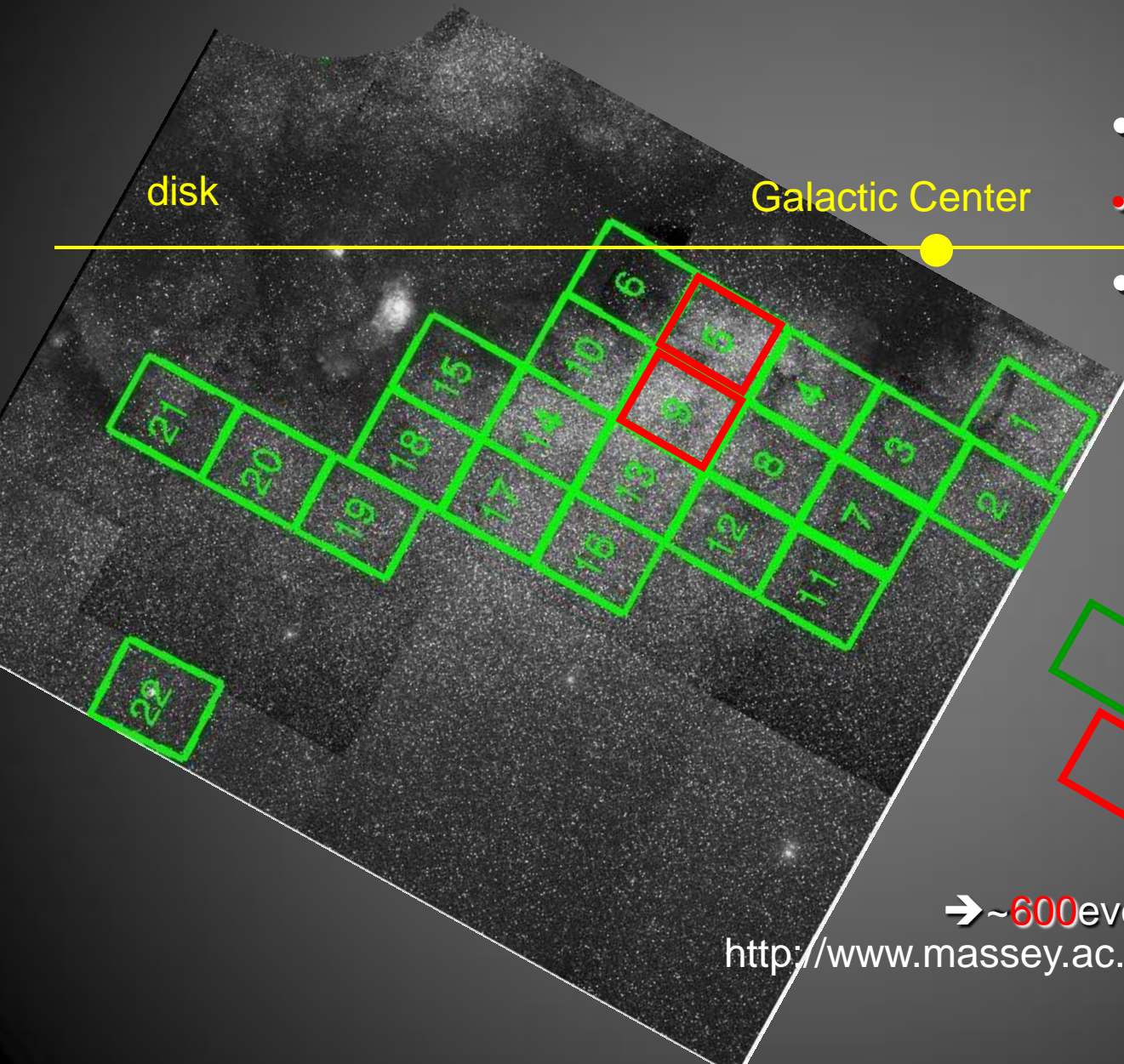
disk

Galactic Center

• 50 deg.<sup>2</sup>

• (200x full moon)

• 50 Mstars



1obs/ 1 hr

1obs/ 10 min

→ ~600 events/yr

<http://www.massey.ac.nz/~iabond/alert/alert.html>

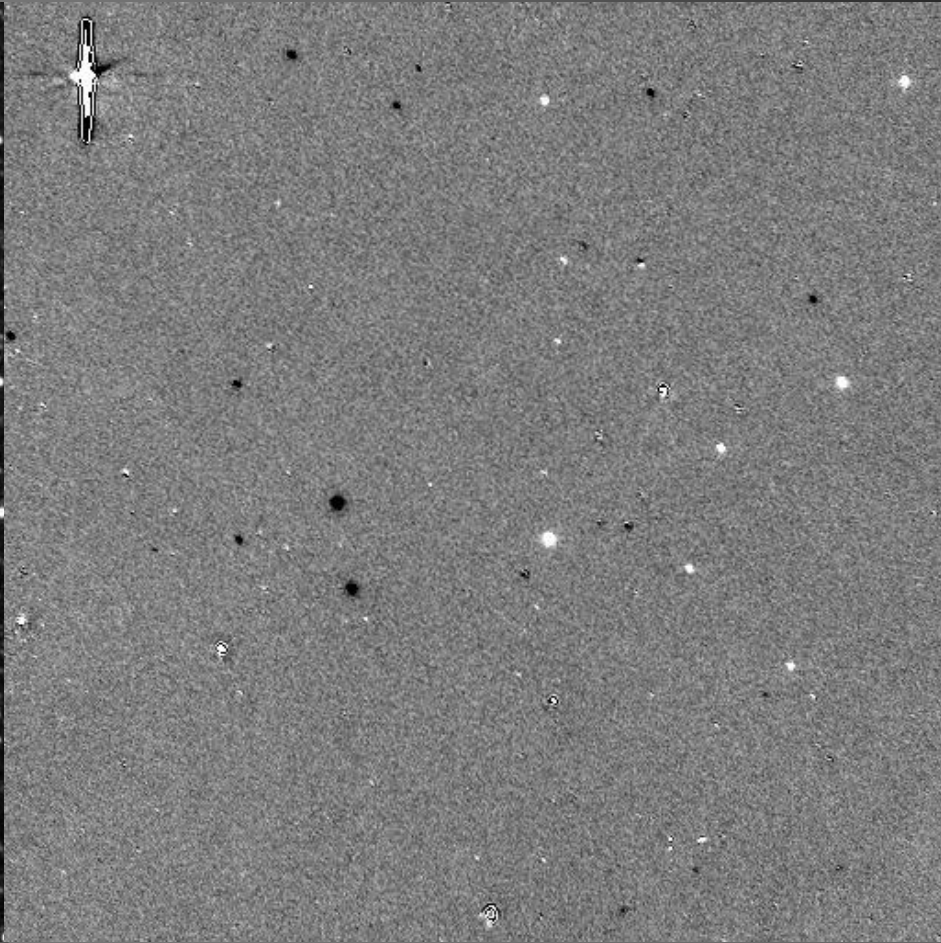


# Difference Image Analysis (DIA)

Observed

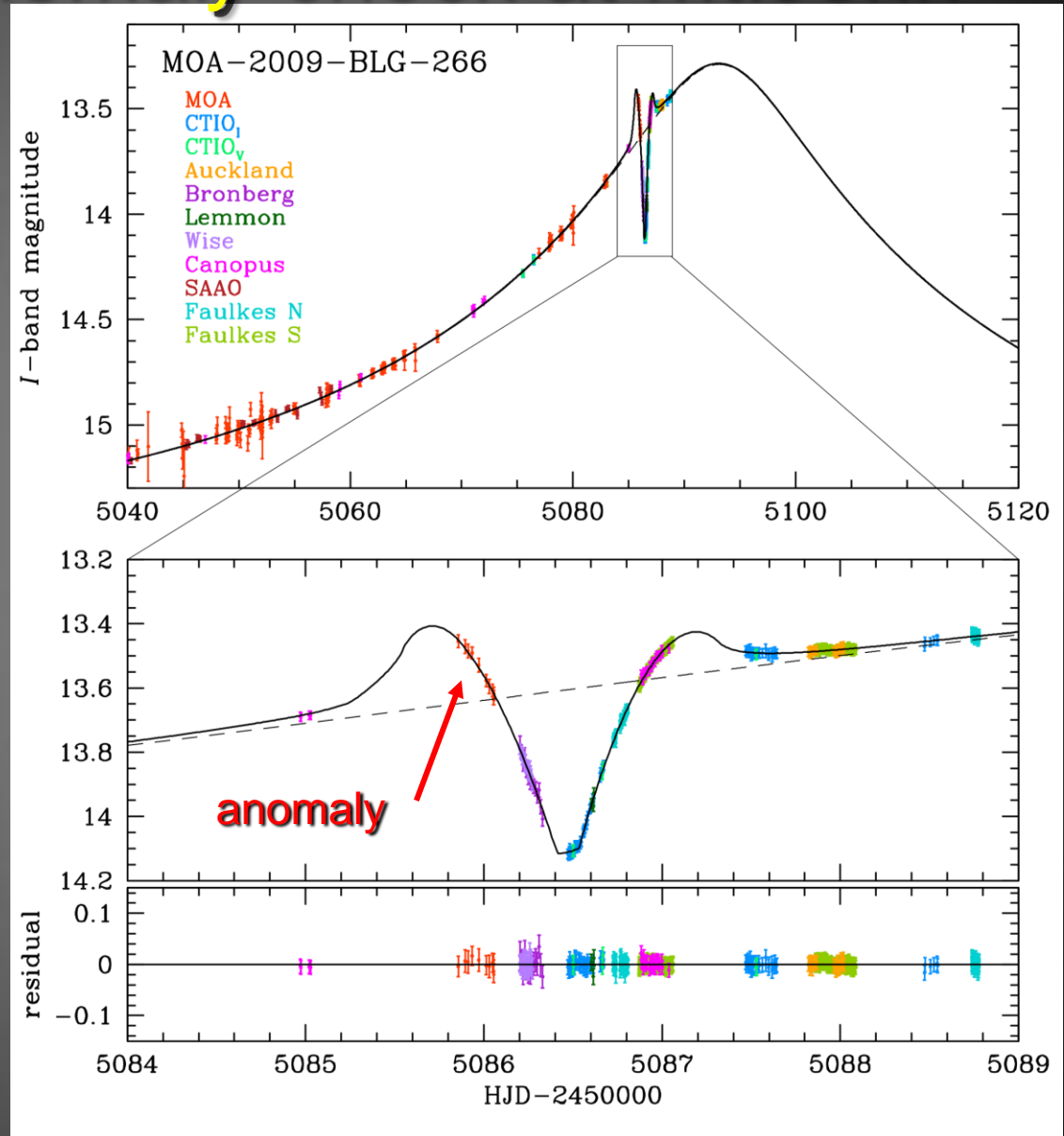


subtracted



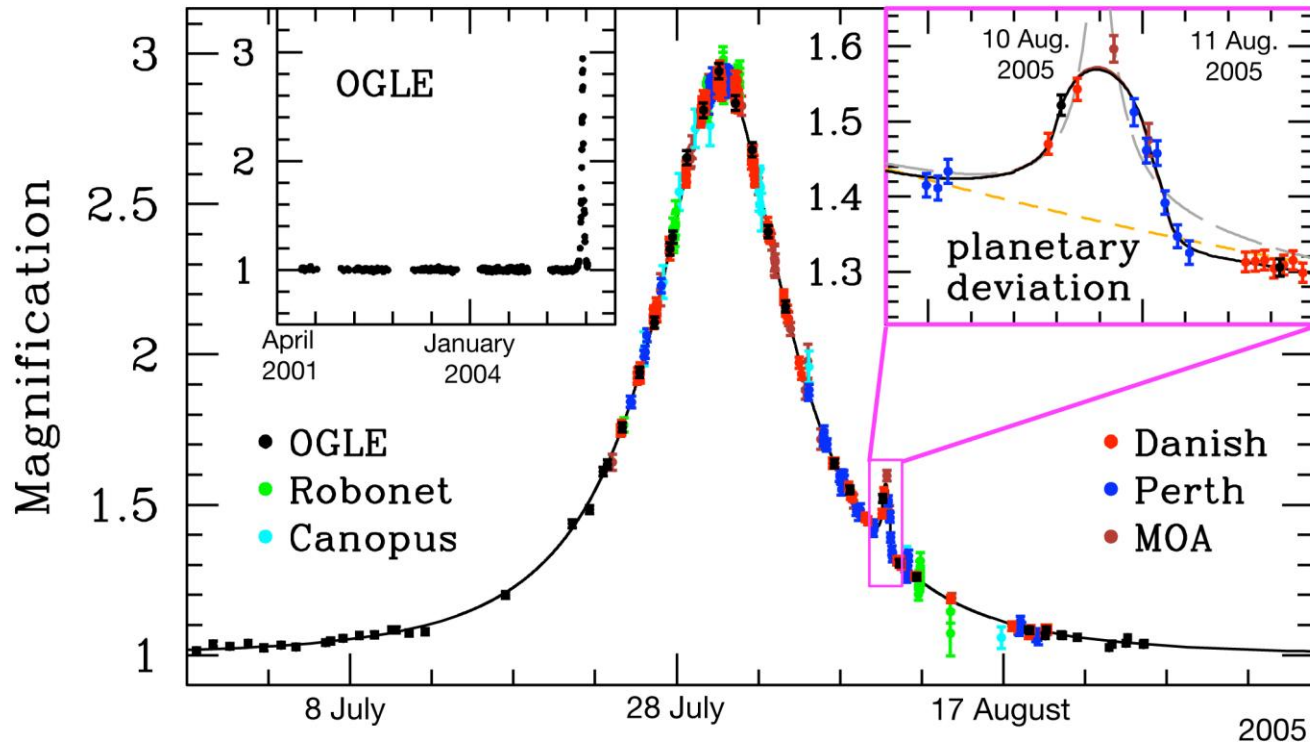
# Real-time Anomaly check at Mt. John

The planet found  
on 11/9/2009



# 5.5 Earth mass: OGLE-2005-BLG-390

Sep~3AU



•Proved microlensing is sensitive to Super earth

Light Curve of OGLE-2005-BLG-390

**The smallest Planet !** (at that time)

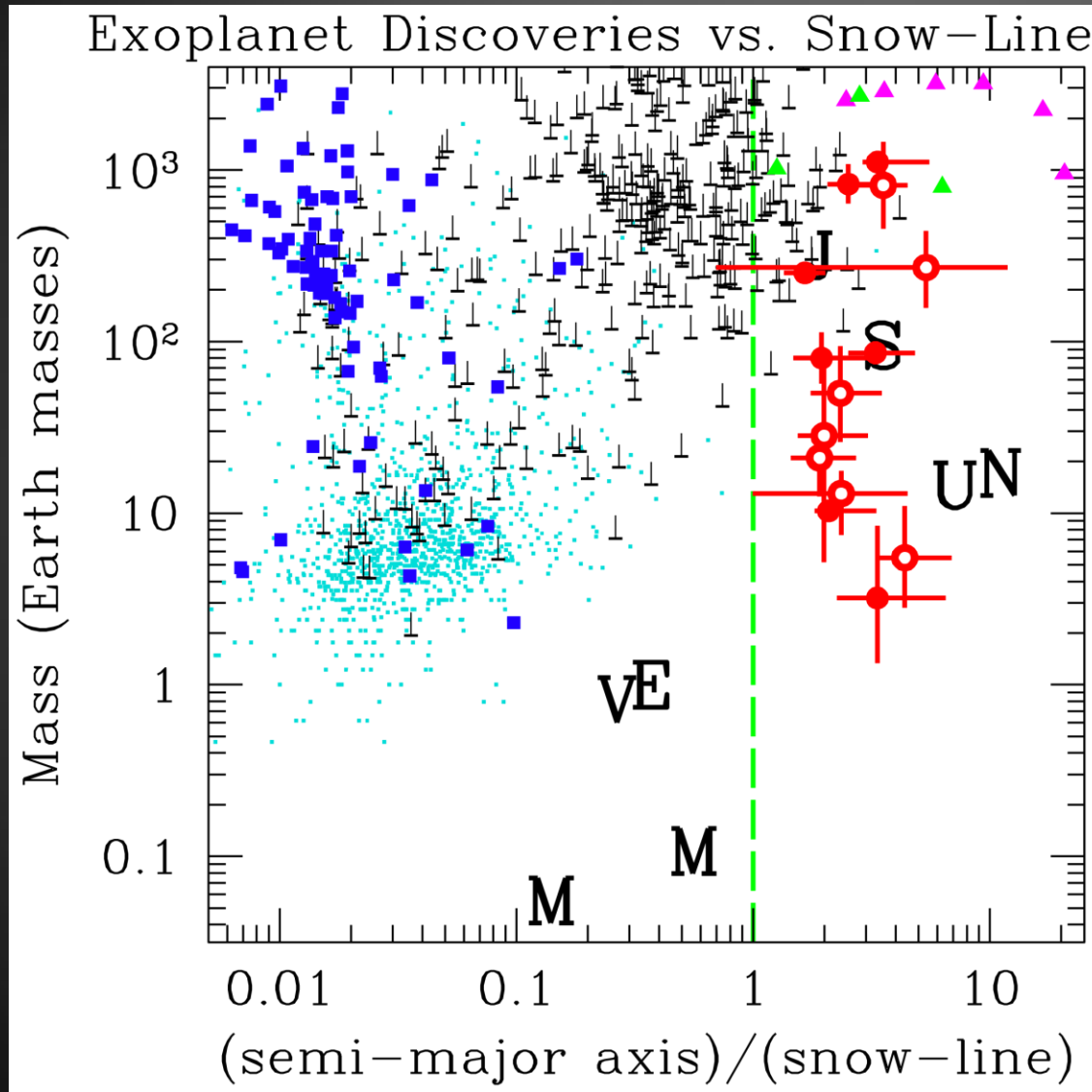
ESO PR Photo 03b/06 (January 25, 2006)

© ESO



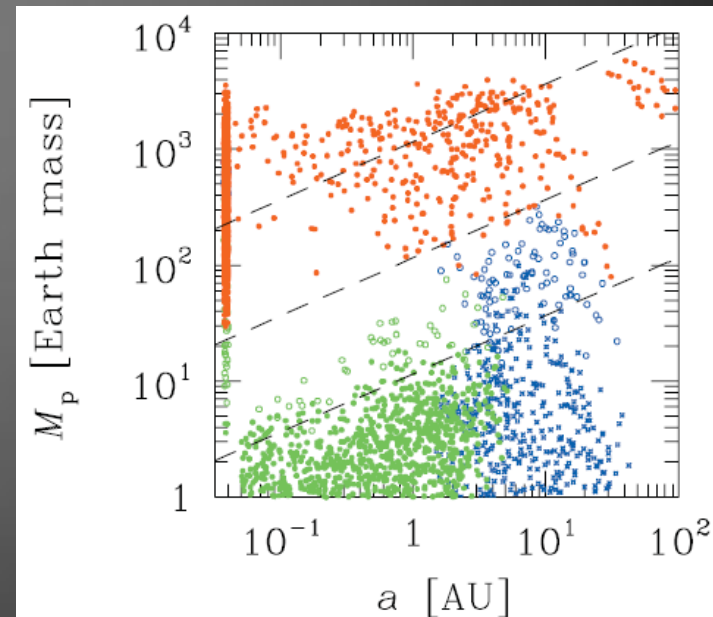
(Beaulieu et al. 2006, Nature, 439, 437)

# Summary of Planet candidates



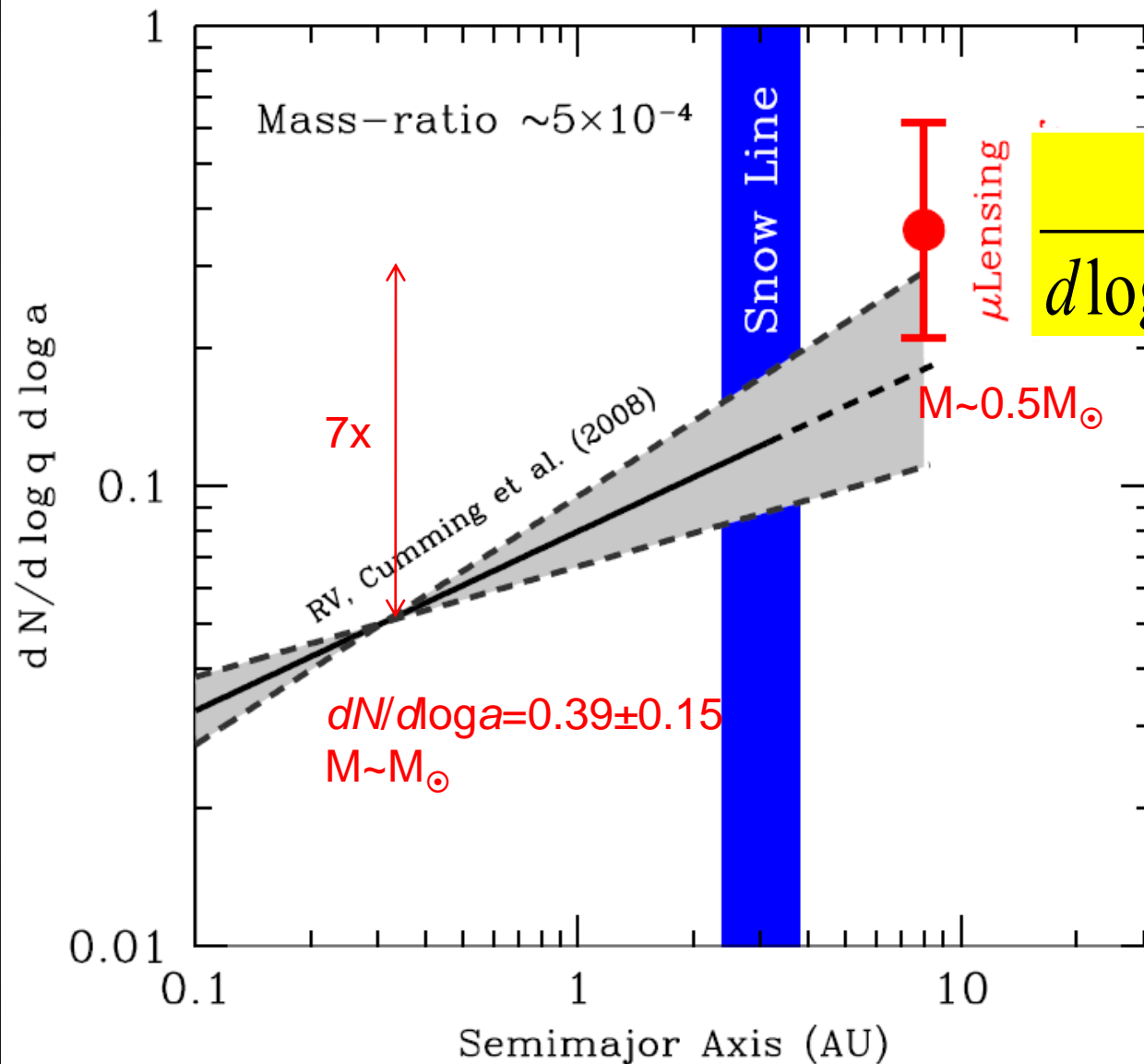
- RV
- transit
- Direct image
- Microlensing : 14 planets  
Mass measurements  
Mass by Bayesian
- Kepler

theory. (Ida & Lin, 2004)





# Planet Frequency vs semimajor axis



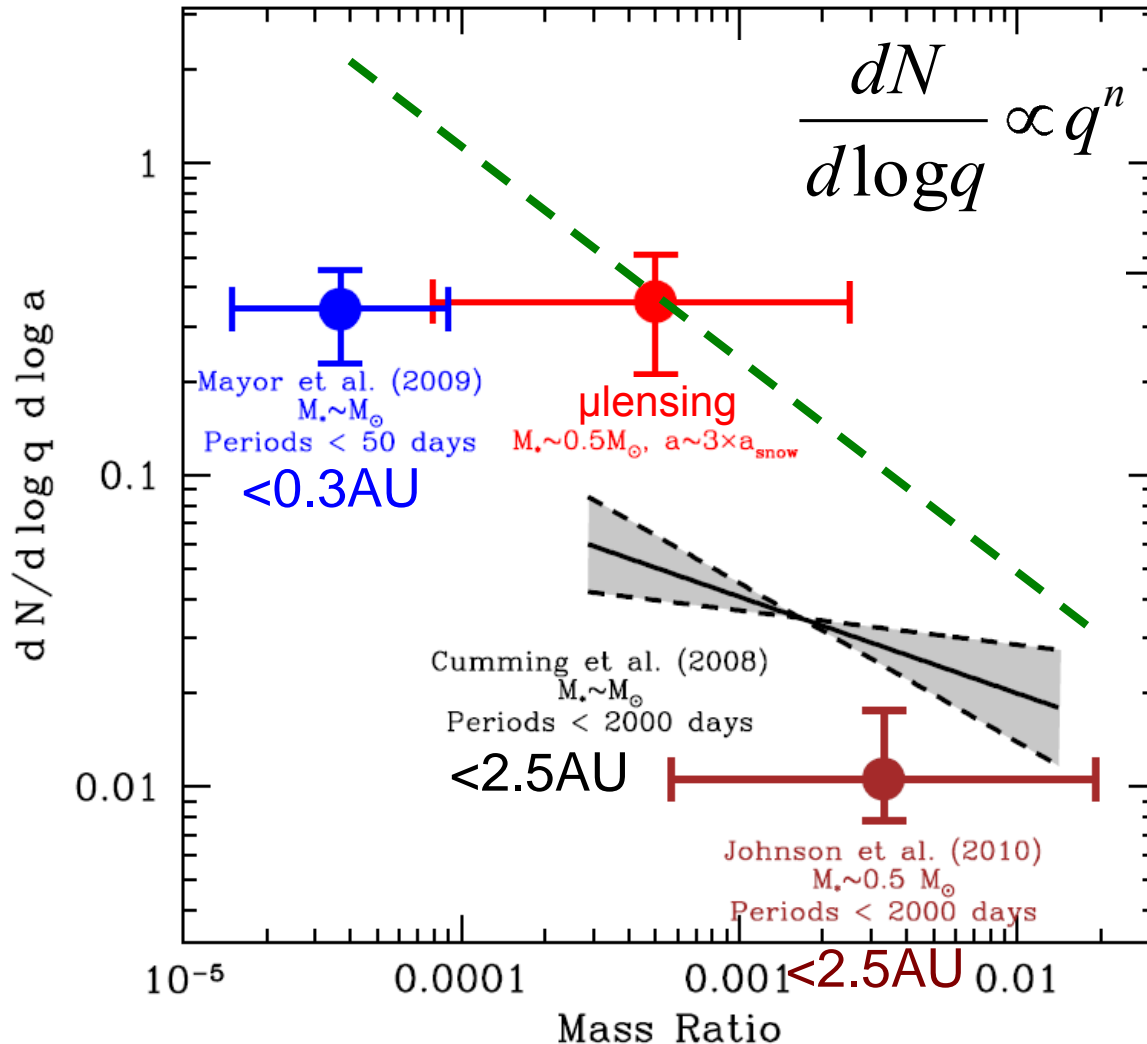
6planets

$$\frac{dN}{d \log q d \log s} = 0.36 \text{ dex}^{-2}$$

- Most ice & gas giant planets do not migrate very far in K, M dwarf
- Amount of migration is a continuous parameter



# Planet mass ratio function



4 Neptune,  
 1 sub-Saturn,  
 5 Jupiter

$$n = -0.68 \pm 0.20 \text{ (Sumi et al. 2010)}$$

$$< -0.35 (95\% \text{ cl})$$

$$n = -0.31 \pm 0.20$$

(RV, solar-type stars,  
 Cumming et al. 2008)

Cold Neptunes ( $q \sim 5 \times 10^{-5}$ )  
 are  $7^{+6}_{-3}$  times more  
 common than  
 Jupiters ( $q \sim 10^{-3}$ ),  
 >2.8 times (95% cl)  
 around K, M dwarfs

Ida & Lin (in prep)

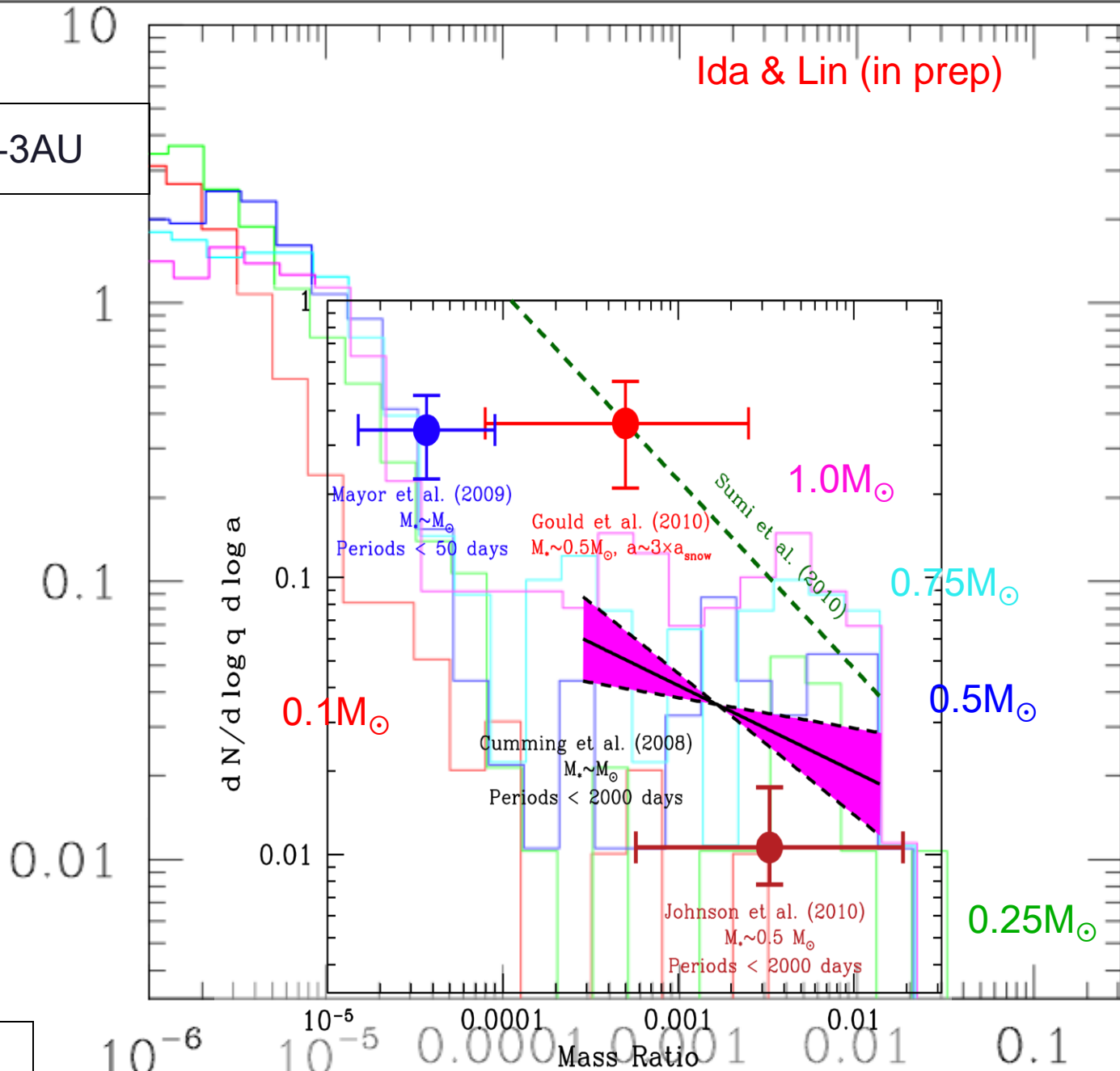
$a=1-3\text{AU}$

$dN/d\log q\ d\log a$

$dN/d\log q\ d\log a$

$C_1 = 0.1$

Mass Ratio



# Summary of bound planets

- *Mass-ratio function:  $\propto q^n$ :  $n = -0.7 \pm 0.2$ ,  $n < -0.35$  (95%cl) (>snow line)*
  - 👉 Cold Neptune are 7x common or at least 3x more common than Jupiters in K,M-dwarf
- Frequency:  $dN/(d \log q d \log s) = 0.36 d \text{ex}^{-2}$ 
  - 👉 7x more than at  $a=0.3 \text{AU}$  by RV (Consistent with slope in sep)
  - 👉 Most giant planets do not migrate very far
  - 👉 Amount of migration is a continuous parameter
  - 👉 10x more than core accretion model.
  - 👉 1.2 exoplanets per star at 0.03-10 AU! (RV+Microlensing)

# 10 events with timescale $t_E < 2$ days

4 7 4 events in 2 years

timescale : 
$$t_E = \frac{R_E(M, D)}{v_t} \sim \sqrt{M/M_J} \text{ day}$$

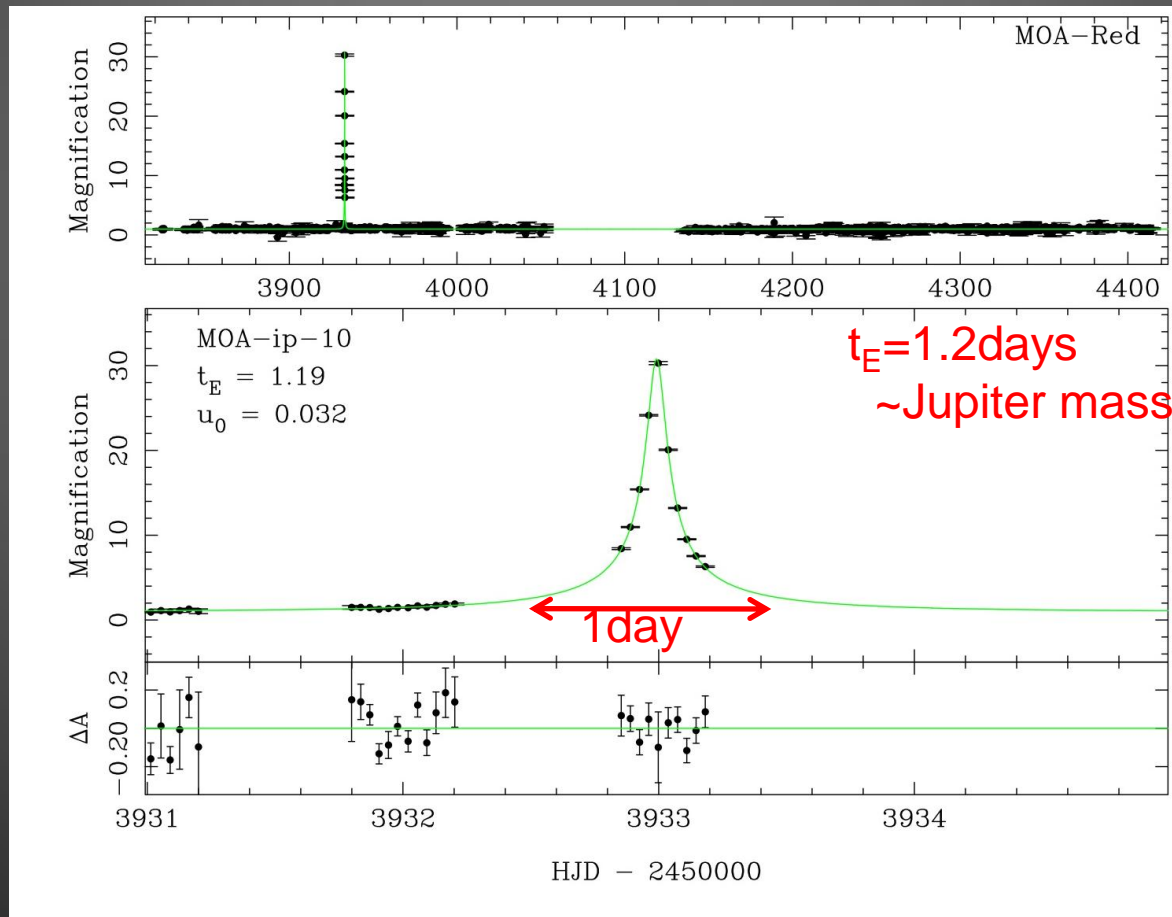
$\sim 2.0$  days for stars

M : lens mass

$M_J$ : Jupiter mass

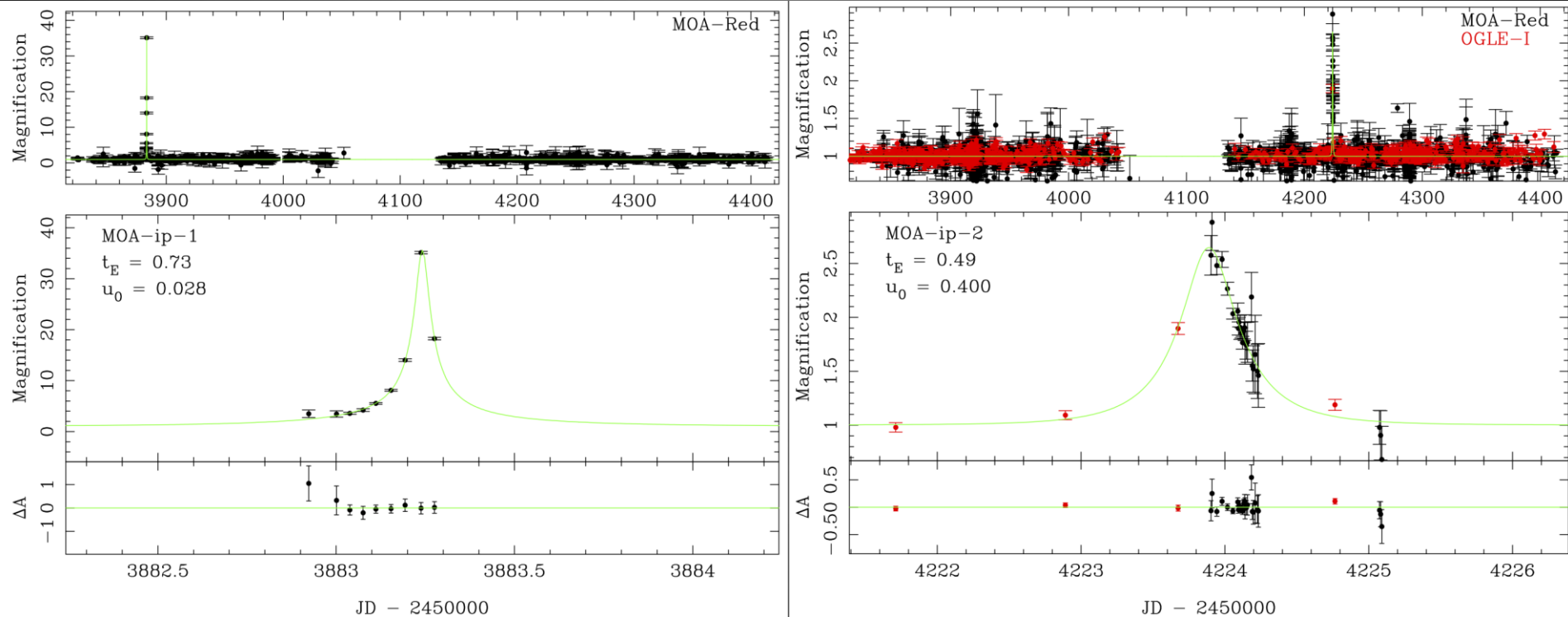
D : distance

$v_t$ : velocity



# 10 events with $t_E < 2$ days from 2006-2007

(events 1, 2)

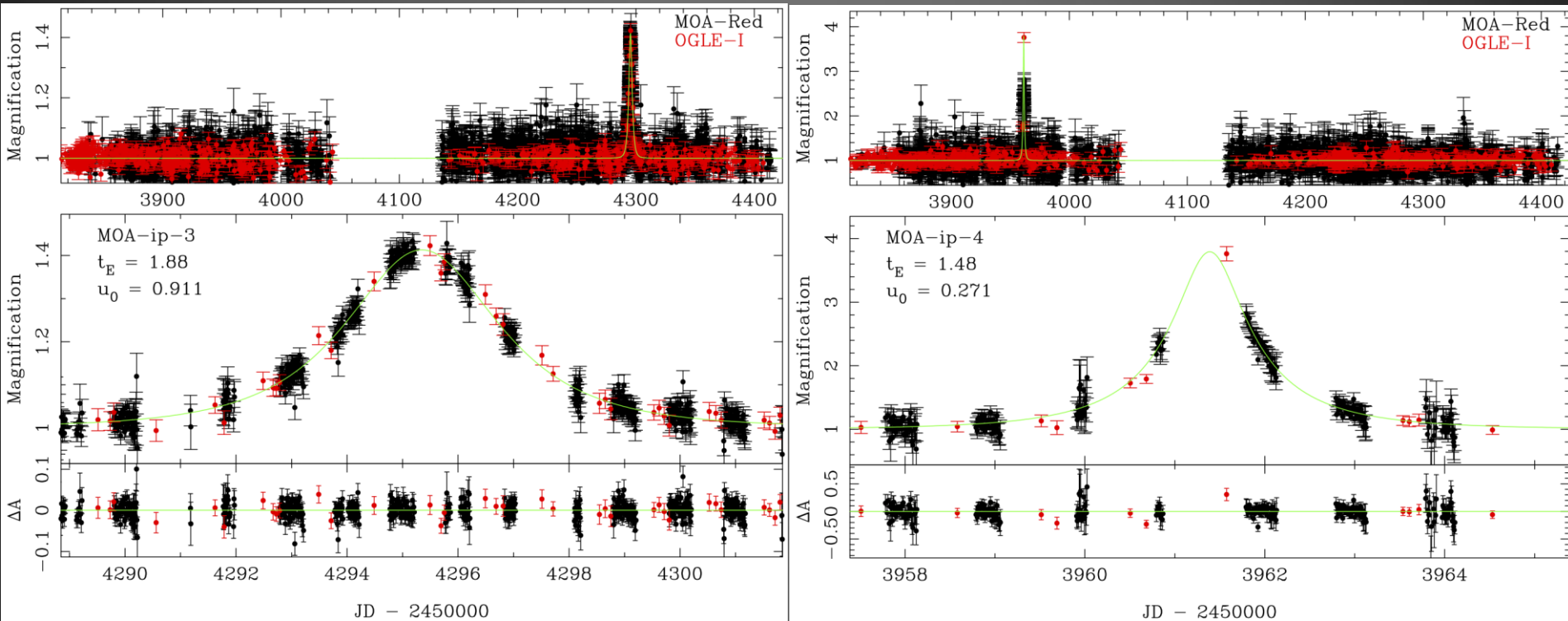


MOA data in black, confirmed by OGLE data in red



# 10 events with $t_E < 2$ days from 2006-2007

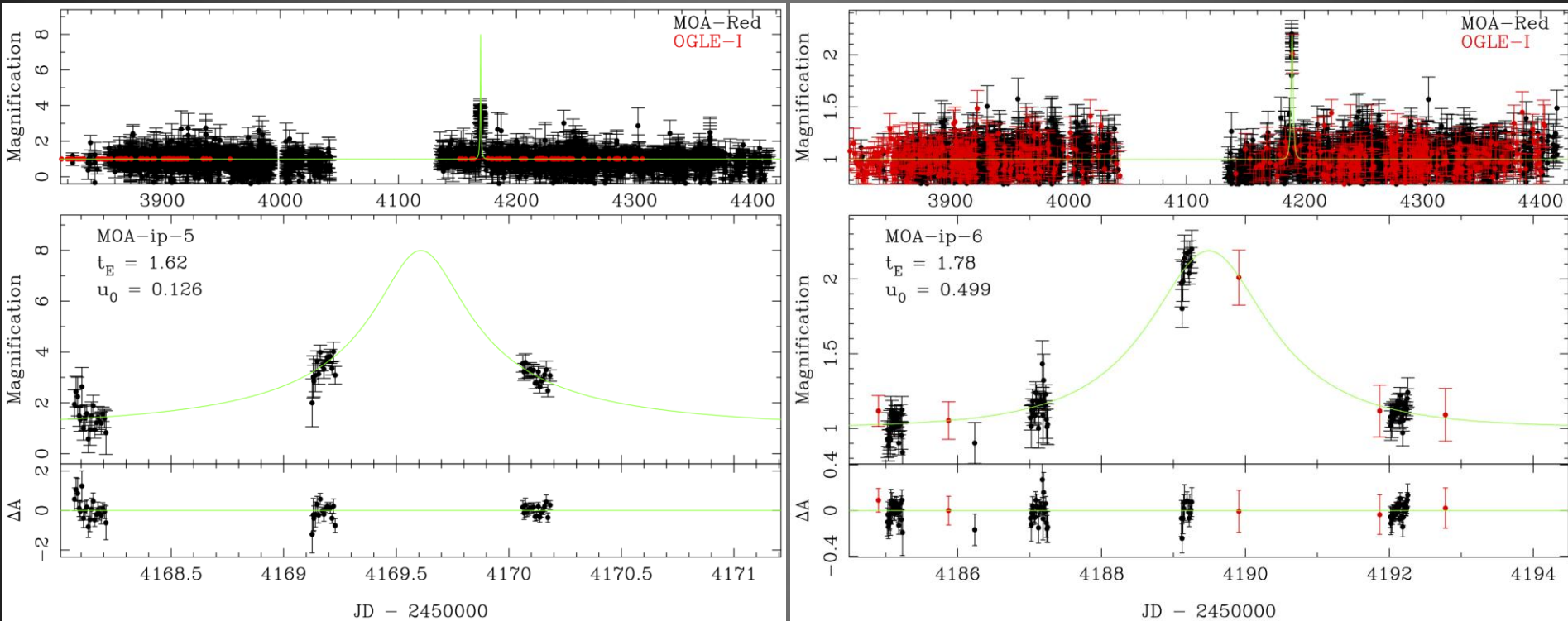
(events 3, 4)



MOA data in black, confirmed by OGLE data in red

# 10 events with $t_E < 2$ days from 2006-2007

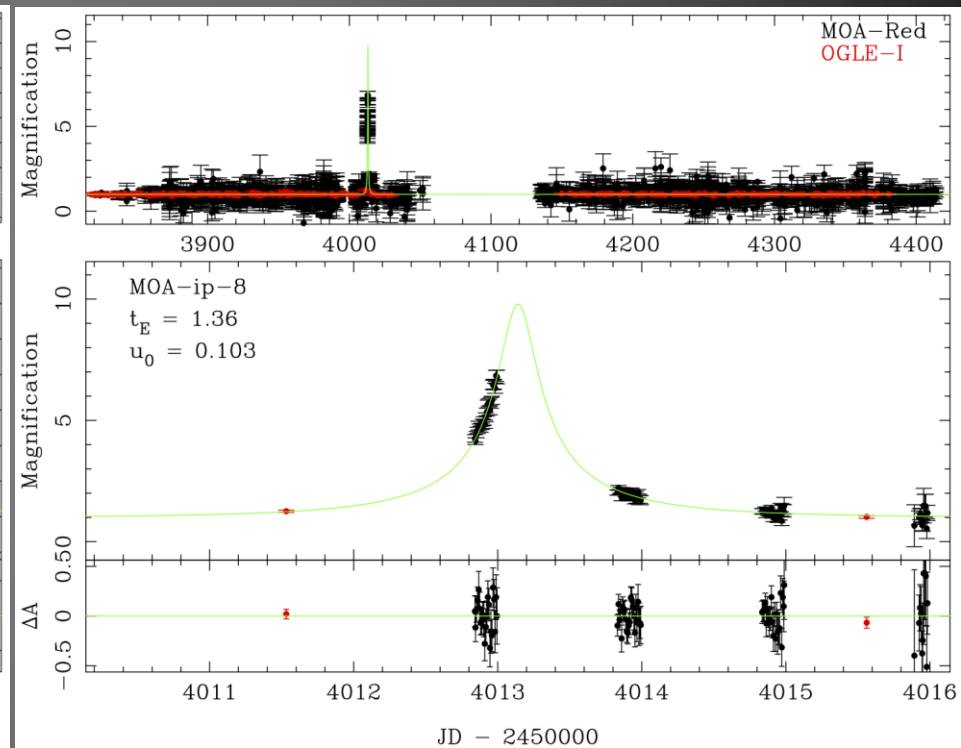
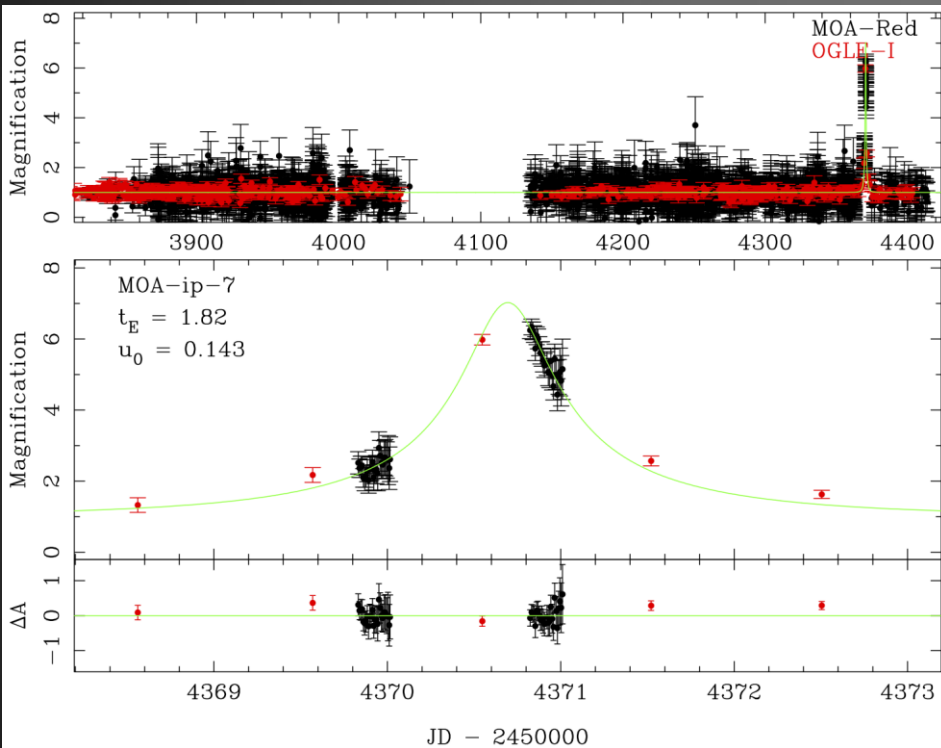
(events 5, 6)



MOA data in black, confirmed by OGLE data in red

# 10 events with $t_E < 2$ days from 2006-2007

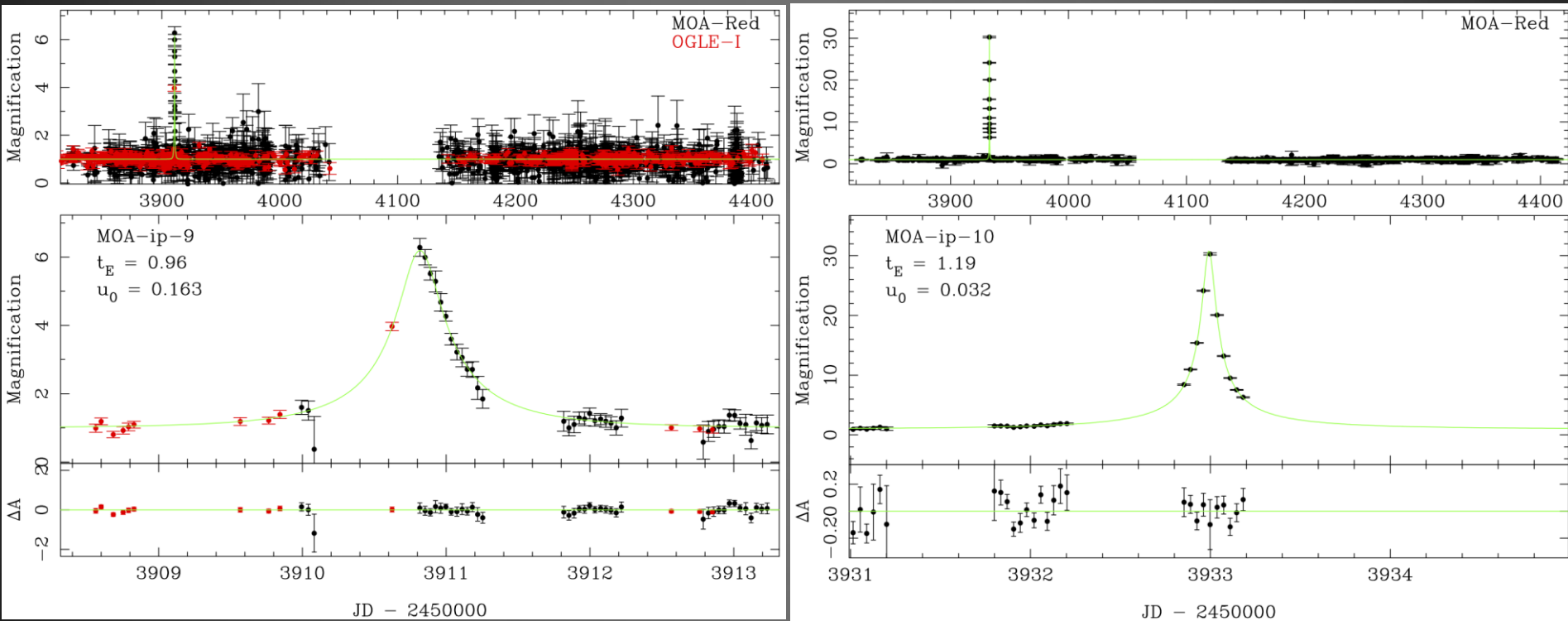
(events 7, 8)



MOA data in black, confirmed by OGLE data in red

# 10 events with $t_E < 2$ days from 2006-2007

(events 9,10)



MOA data in black, confirmed by OGLE data in red

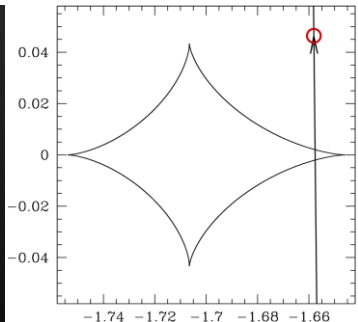
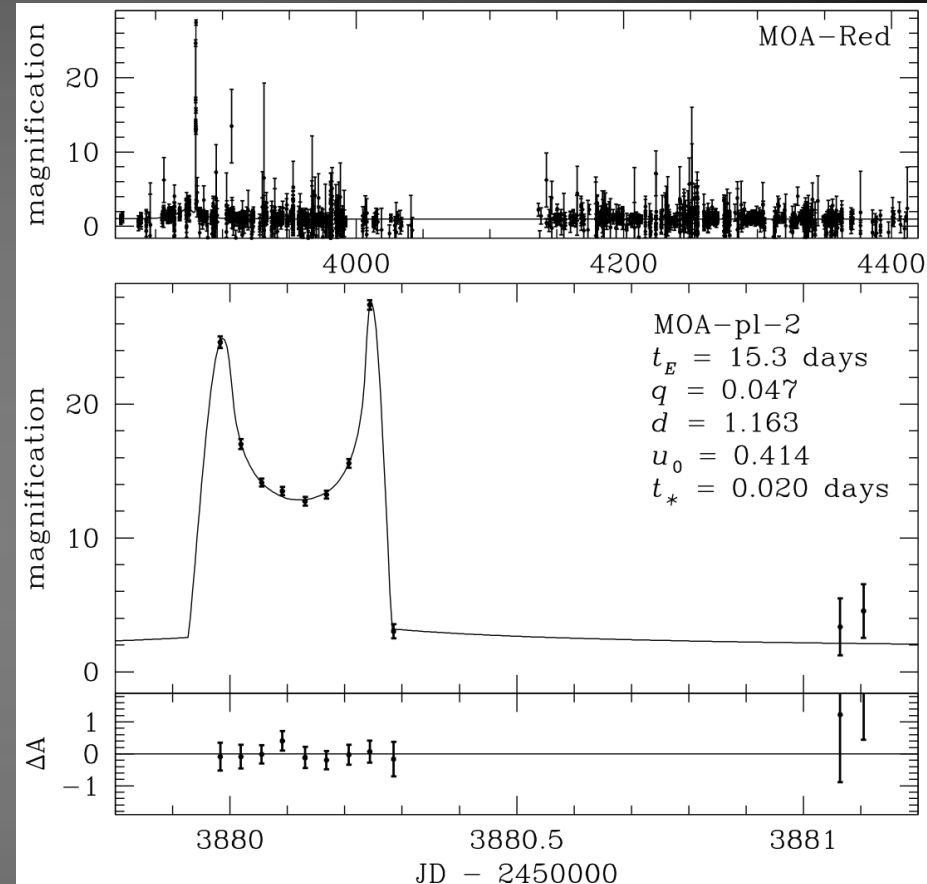
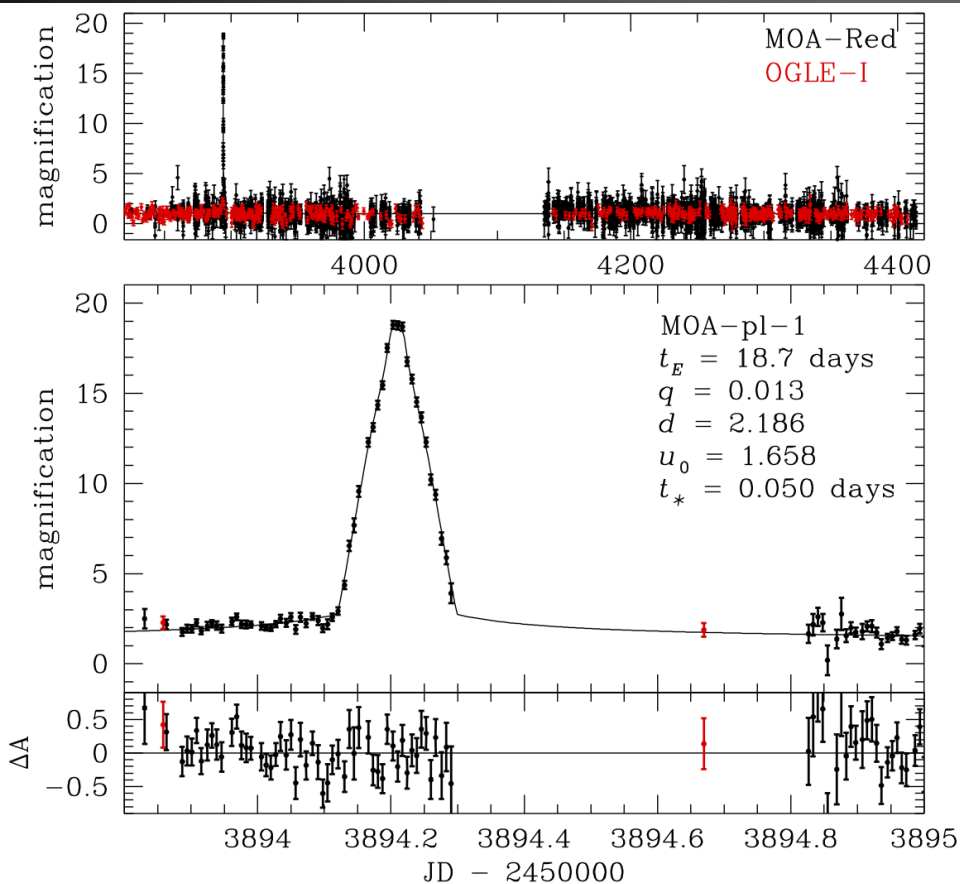
↑  
 $A_{\max} = 30$  event is  
 separated from host  
 star by  $> 15 R_E$



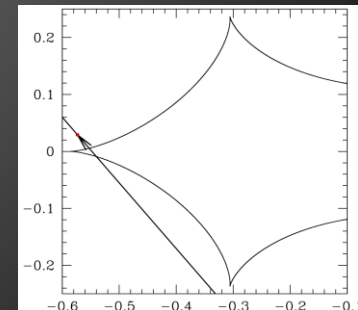
# Binary Lens Background Rejection

- Both close ( $d < R_E$ ) and wide ( $d > R_E$ ) binary lens events can give rise to brief microlensing magnifications
- All short events can be fit by a wide binary model, because a wide binary approaches a single lens as  $d \rightarrow \infty$ 
  - host stars must be at a distance  $> 3-15 R_E$ , depending on the event
  - high magnification events have the tightest limits
  - 2 wide binaries fail light curve shape cuts
- Close binaries have small external caustics that can also give short events
  - 1 such event passed all cuts but the light curve fit.
  - Close binary models have different, usually asymmetric, light curves
  - Close binary models can be rejected for all  $t_E < 2$  day events, except for event 5
  - Since only 1 of 13 short events is a close binary, event 5 is probably a single lens event

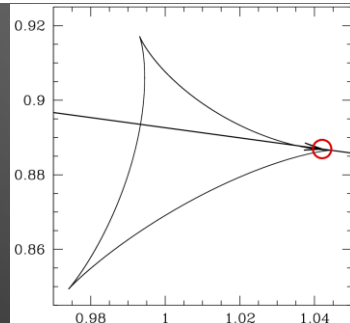
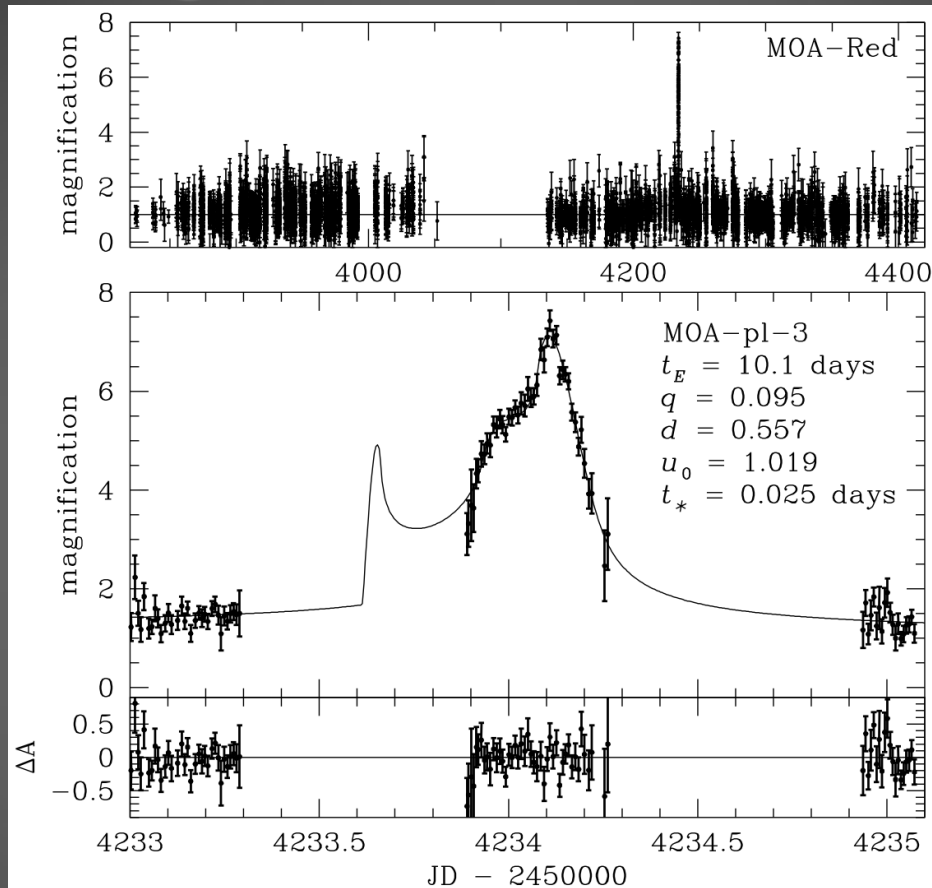
# Background: Short Binary Events



Wide-binaries ( $d = 2.2, 1.2$ ) with planetary and brown dwarf mass ratios of  $q = 0.013$  and  $0.047$



# Background: Short Binary



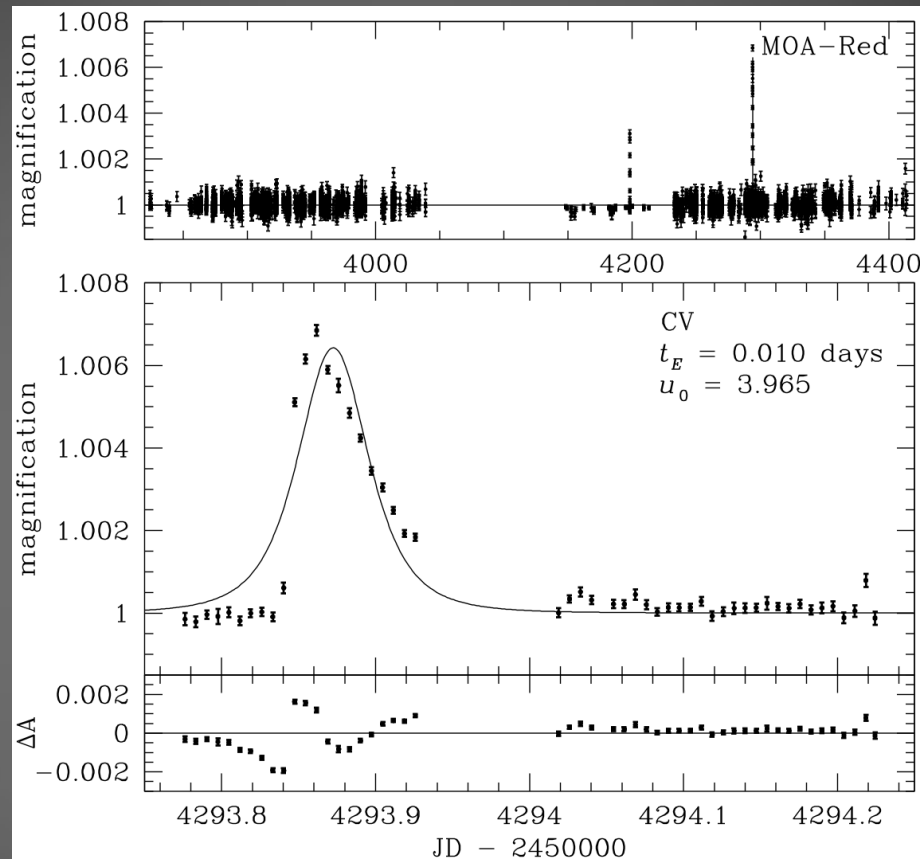
Close-binary ( $d = 0.56$ ) with  
 $q = 0.095$

# CV Background Rejection

- Poor fit to microlensing event or unphysical source brightness
- Repeating
- 208 of 418 CV light curves in 2006-2007 data have a 2<sup>nd</sup> outburst in 2006-2010
  - Classified by eye from rejected events
  - 421 multiple outbursts fit to microlensing from multiple outburst events
  - All 421 failed to pass the cuts
- after analysis was complete, OGLE-III, II, I, and MACHO databases were checked
  - OGLE-III data confirms lens models for events 2, 3, 4, 6, 7, 8 and 9
  - OGLE-III 2002-2008 data shows no additional outburst back to 2002 for events 2, 3, 4, 5, 6, 7, 8, and 9
  - Events 3, 5, 6, and 8 show no outburst in 1990s – MACHO



# Background: CV



a CV gives a poor microlensing fit, often with low magnification and an unphysically bright source

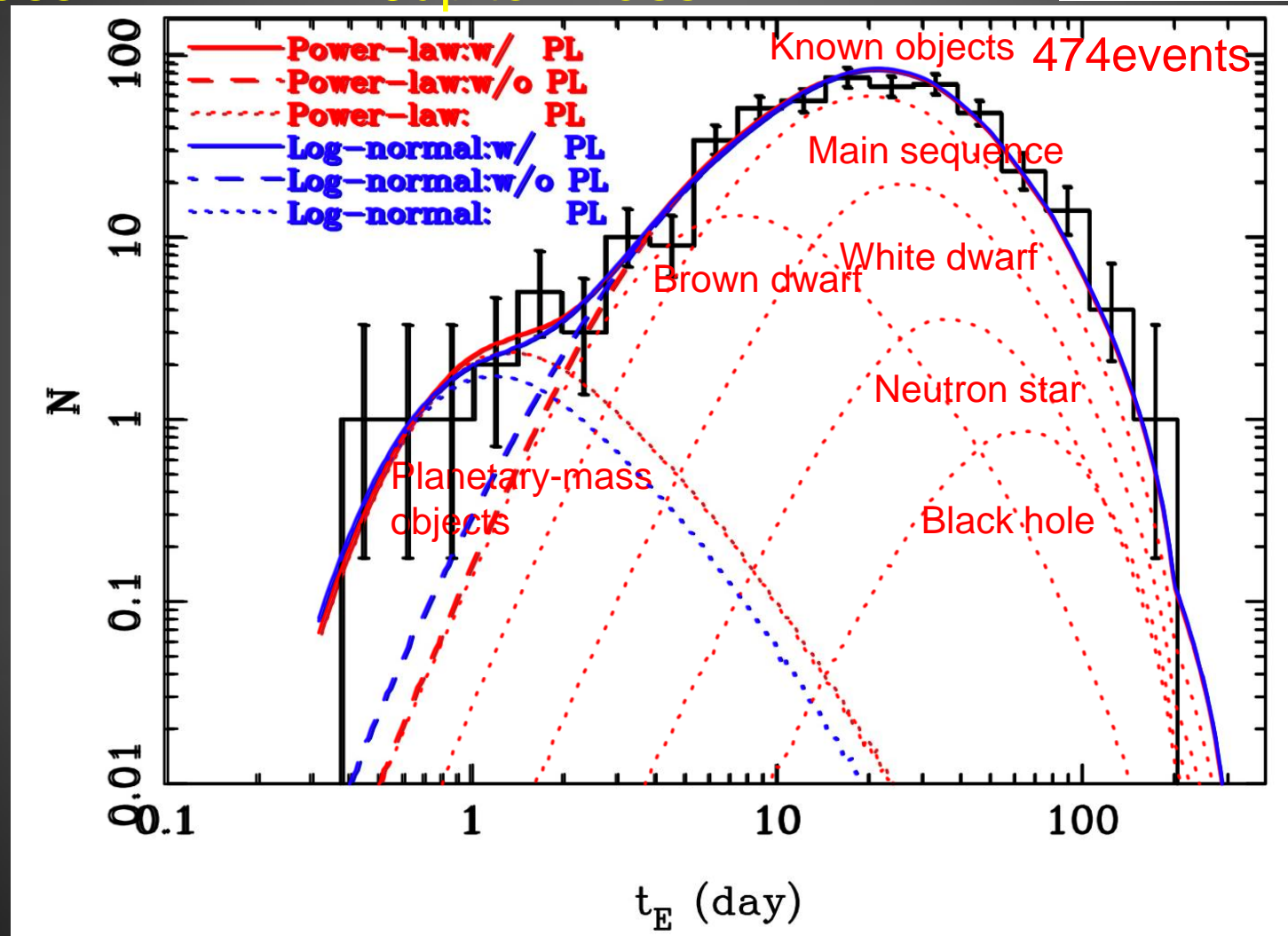
# Timescale $t_E$ distribution

abundance :  $\sim 1.8$  as common as stars

Mass :  $\sim$  Jupiter mass

$$N_{\text{planet}} = 1.8^{+1.7}_{-0.8} N_{\text{star}}$$

$$M_{\text{planet}} = 1.1^{+1.2}_{-0.6} M_J$$

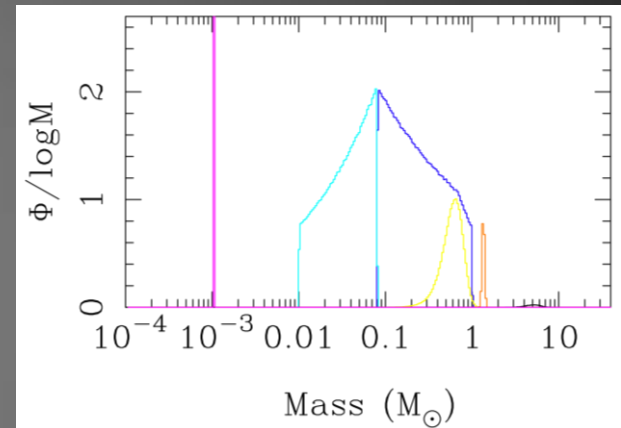


# Mass Function Models

- Stars  $>1 M_{\odot}$  have become stellar remnants
- Assume Salpeter-like slope ( $\alpha = -2$ ) for initial  $>1 M_{\odot}$  stars
- Two choices at  $< 1 M_{\odot}$

- Broken power law

- $\alpha = -2$  for  $M > 0.7 M_{\odot}$
- $\alpha = -1.3$  for  $0.7 M_{\odot} > M > 0.08 M_{\odot}$
- $\alpha = -0.52$  for  $0.08 M_{\odot} > M > 0.01 M_{\odot}$



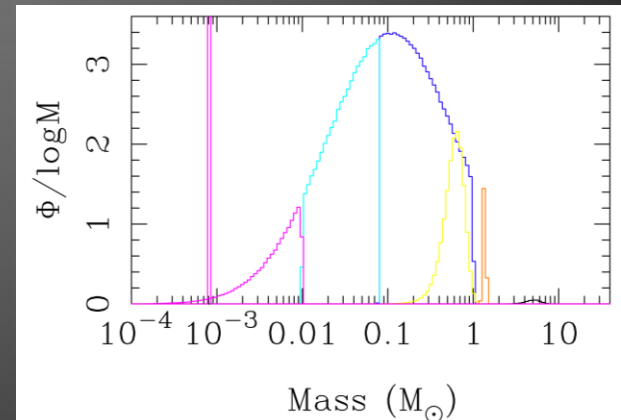
- Chabrier log-normal

- $M_c = 0.12 M_{\odot}$ ,  $\sigma_c = 0.76$

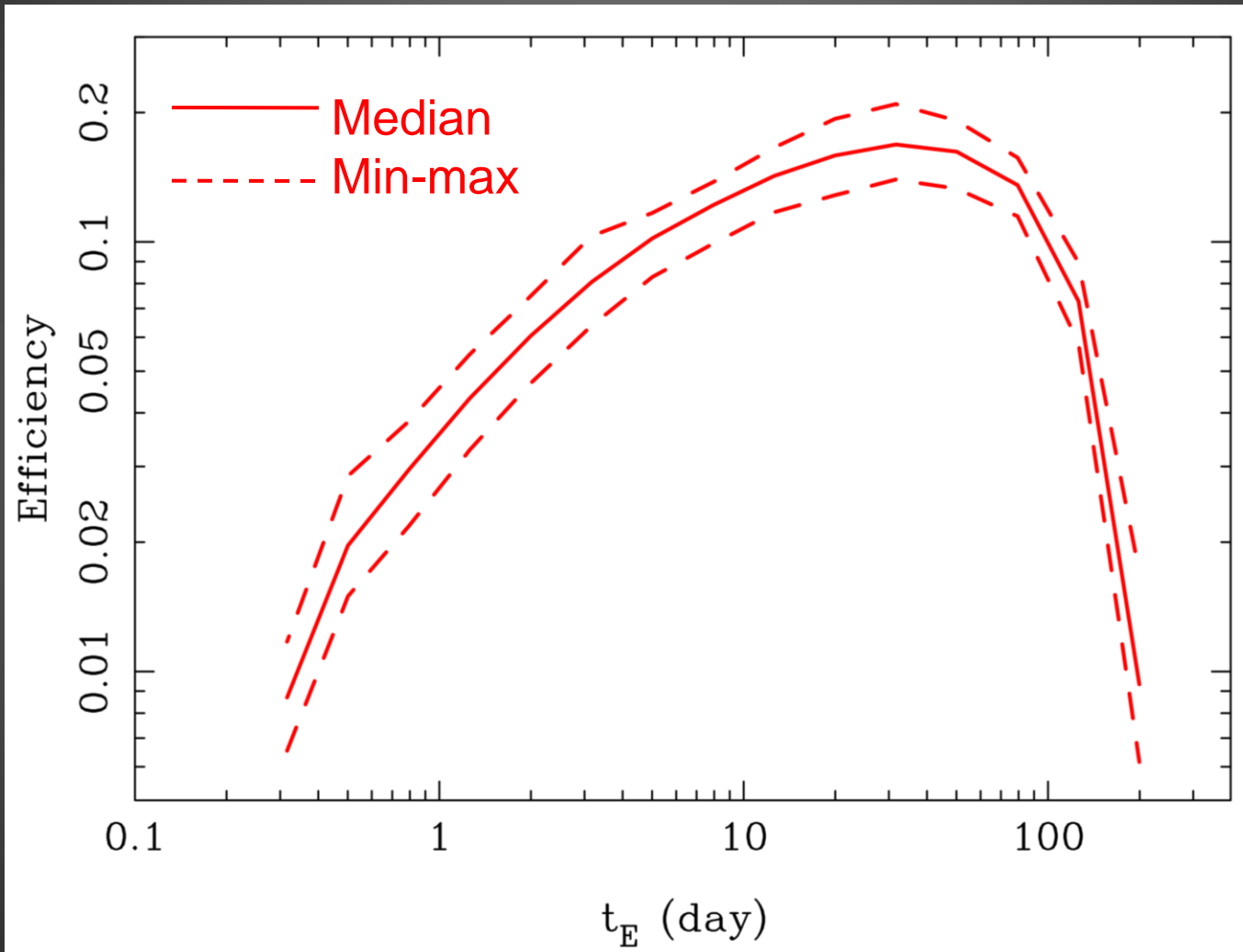
$$dN/d\log M = \exp[(\log M - \log M_c)^2 / (2\sigma_c^2)]$$

- Planetary  $\delta$ -function in mass

- mass resolution limited by factor of 2-3 precision in  $t_E$  – mass relation



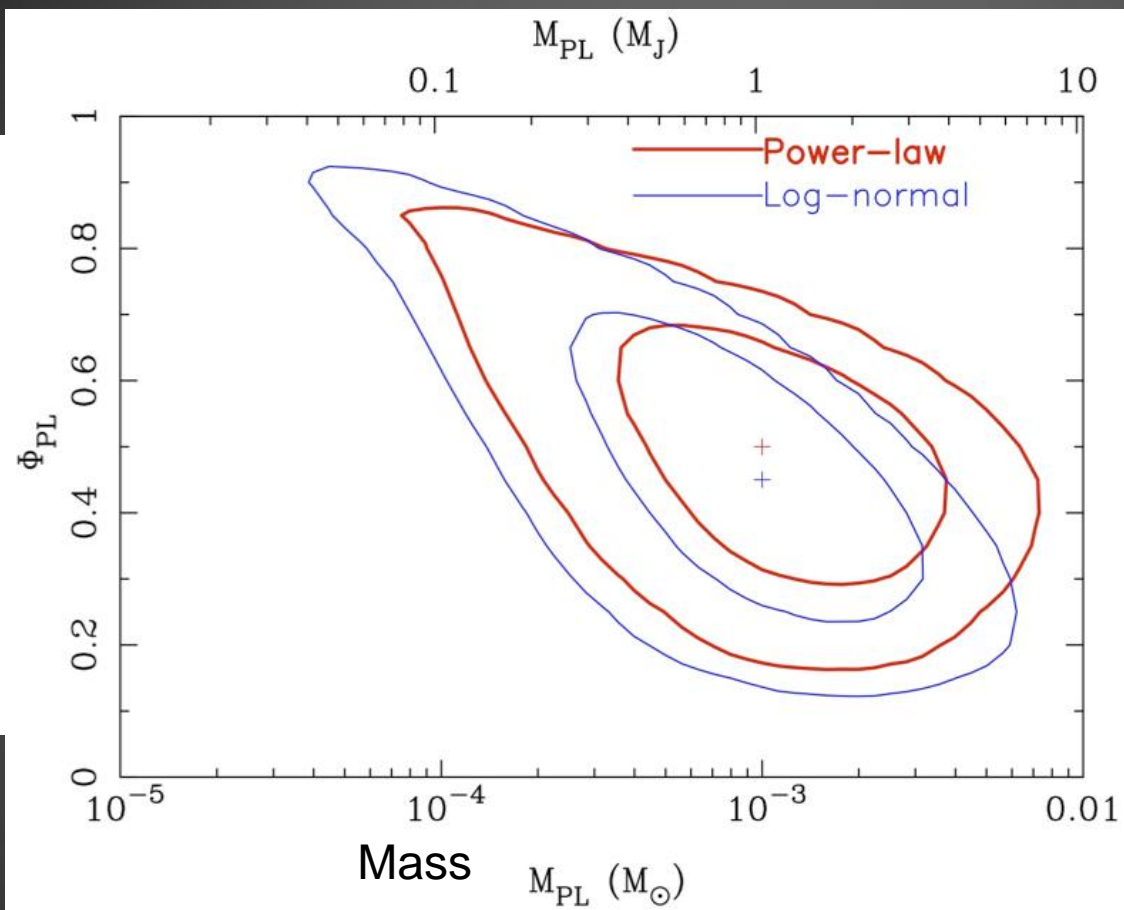
# Detection Efficiency





# Planetary Mass Function Parameters

#Fraction in all population



68%, 90%  
contour

*Power-law*:  $M_{PL} = 1.1^{+1.2}_{-0.6} \times 10^{-3}$ ,  $\Phi_{PL} = 0.49^{+0.13}_{-0.13}$ ,  $\Rightarrow N/N_* = 1.9 \pm 0.5$

*log-normal*:  $M_{PL} = 0.83^{+0.96}_{-0.51} \times 10^{-3}$ ,  $\Phi_{PL} = 0.46^{+0.17}_{-0.15}$ ,  $\Rightarrow N/N_* = 1.8 \pm 0.6$

**1.8 isolated planets per star!**

# Where are they?

The galaxy

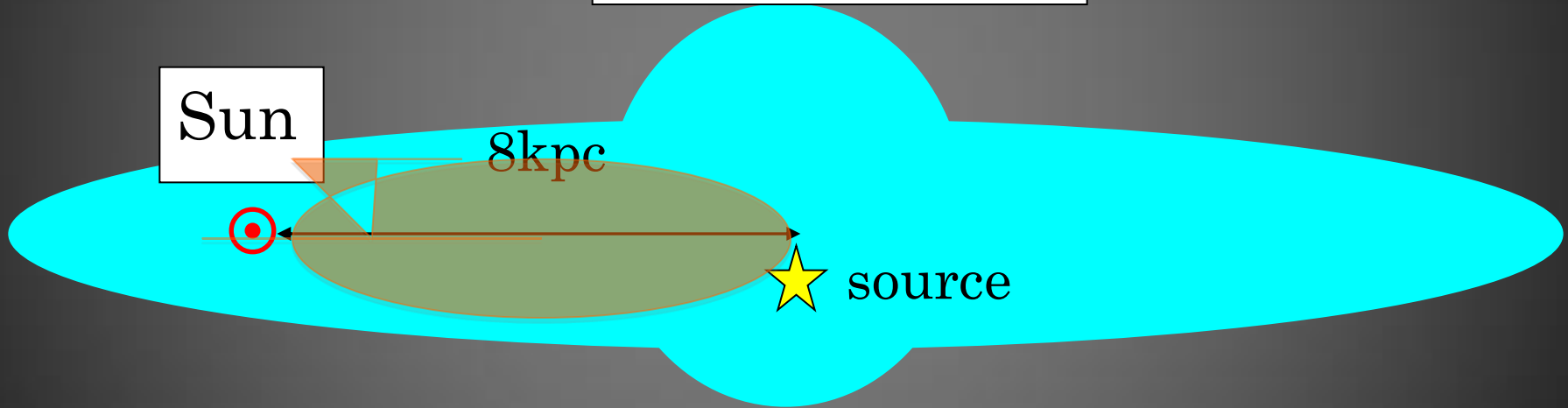
Galactic center

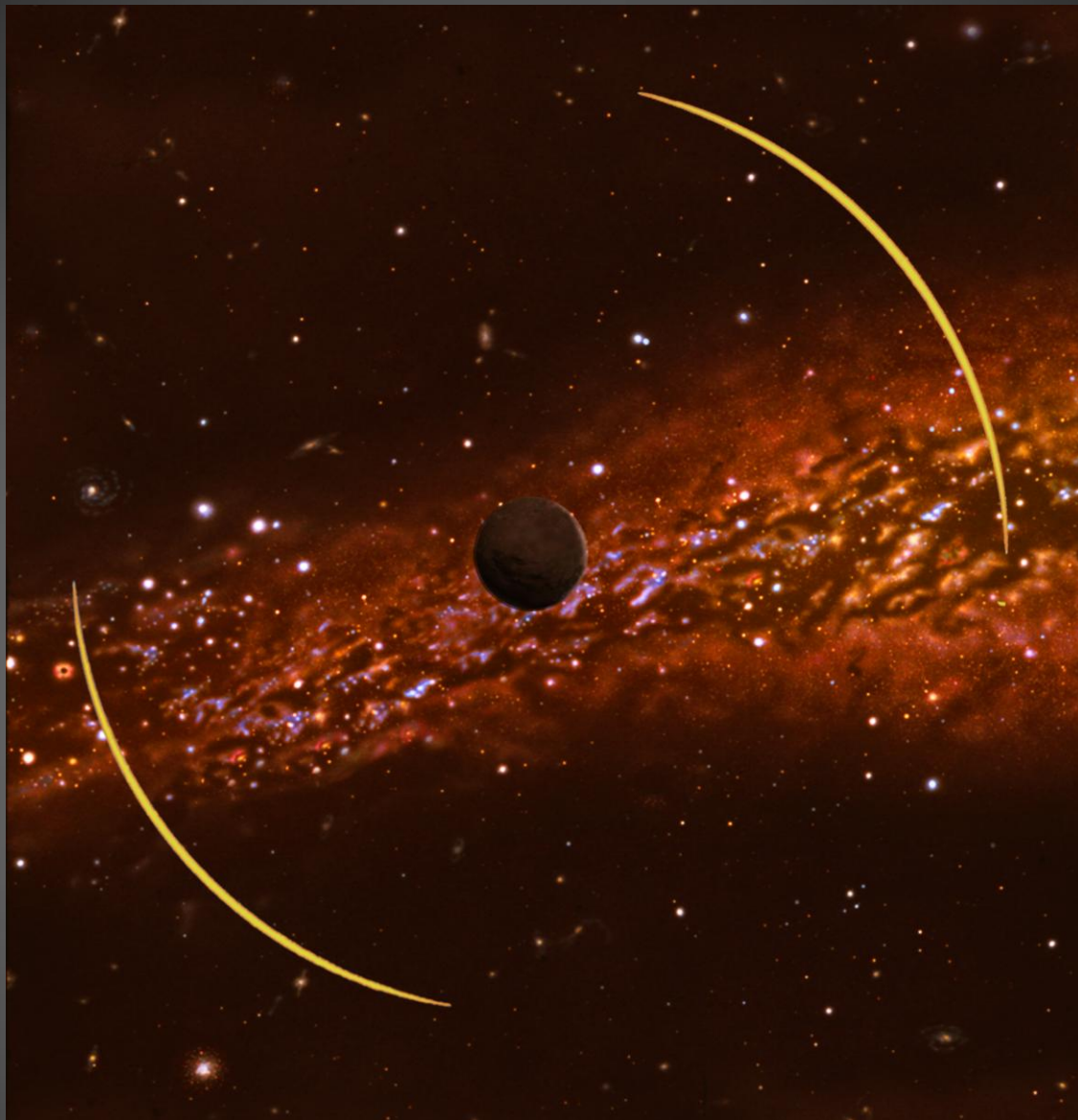
Sun

8kpc

source

Somewhere between Sun  
and the galactic center





Far-infrared rendition of the Jovian-mass planet MOA-ip-10. It is either free-floating or extremely distant from its host star, and thousands of light-years away towards the galactic center. The planet's gravity creates Einstein arcs of a background star. Artwork by Jon Lomberg.

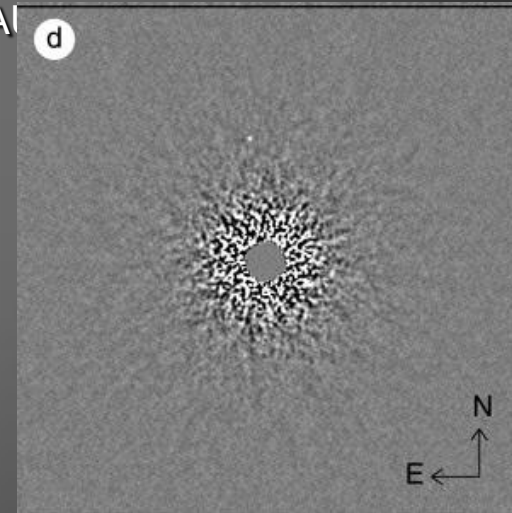
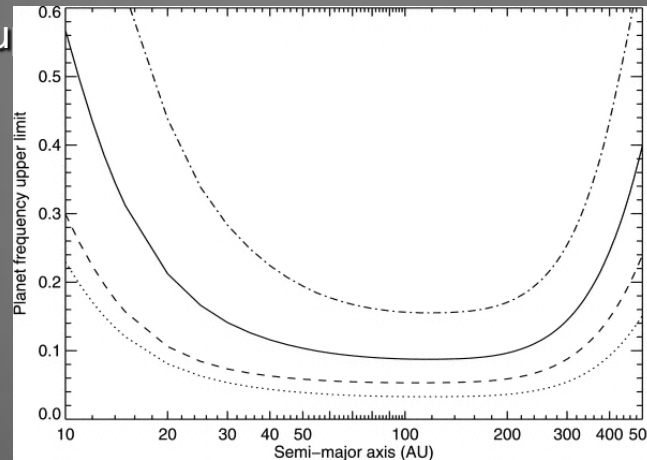






# Unbound or distant planets?

- Microlensing data only sets a lower limit on the separation: no host stars within 10AU
  - HST follow-up can set tighter limits or detect host
- 8 m telescope, Direct imaging limits (Lafreniere et al. 2007)
  - < 40% of stars have 1 Jovian planet



- We find 1.8 planets per star,  
→ so at least 1.4 planets per star (75%) should be free!

# Isolated vs. Bound Planets

- (Isolated means no detectable host – either free-floating or in a distant orbit  $> 7\text{-}45$  AU depending on the event)
- ☞ Log-normal mass function implies 8 planets (plus 3 planetary mass brown dwarfs)
  - ☞ Also, 5 planet+star events in the sample
    - ☞ So, a isolated:bound ratio of  $8/5 = 1.6$
  - ☞ We can also compare to measurements of Cumming et al. (2008) and Gould et al. (2010) inside and outside the snow-line
    - ☞ Implies 1.2 Saturn-Jupiter mass planets per star at 0.03-10 AU
    - ☞ So, isolated:bound ratio  $\sim 1.8/1.2 = 1.5$

- More isolated planets than bound
- (At least comparable)

# Formation Scenarios:

## 1. formed on their own through gas cloud collapse similar to star formation (sub brown dwarf) →

- Hard to form Jupiter-mass objects
- Planetary-mass sub brown dwarf can explain only 1 or 2 short events.
- Abrupt change in mass function at Jupiter-mass do not support this scenario.



## 2. formed around a host star, and scattered out from orbit

Hot Jupiters orbiting hot stars have high obliquities ( Winn et al. 2010, Triaud et al. 2010 )

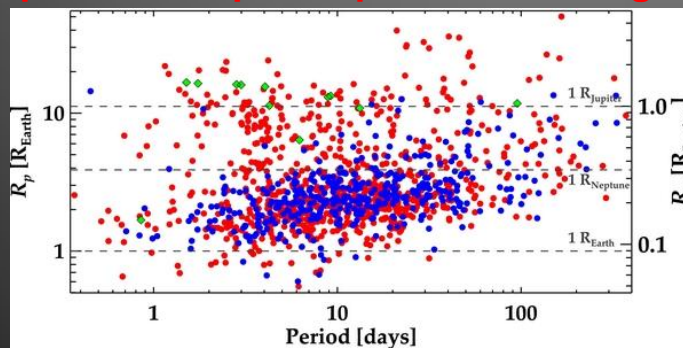
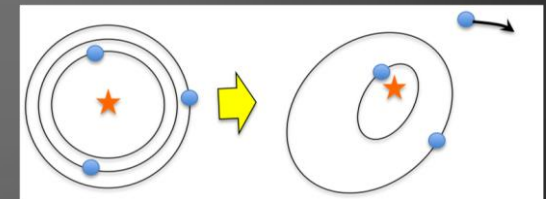
→ **evidence of gravitational interaction**

Hot Jupiters are alone (Latham et al. 2011)

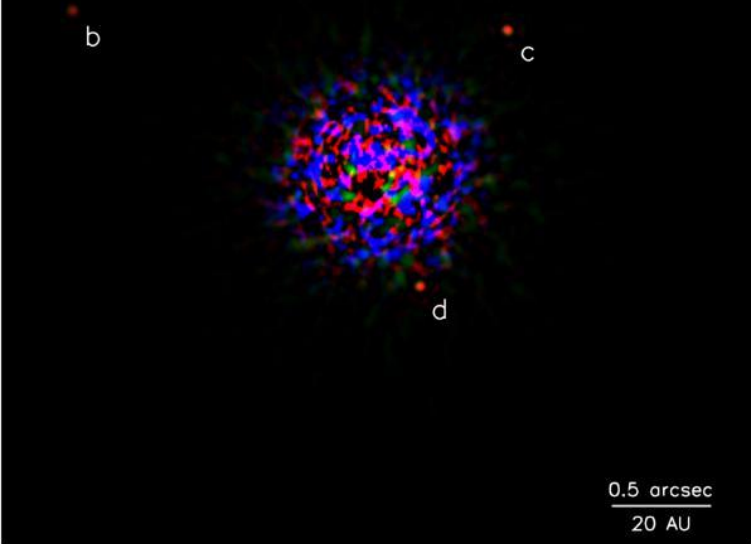
→ **evidence of gravitational interaction**

No desert for short-period super-earths (Howard et al. 2010)

→ **planet-disk interactions are of secondary importance to planet-planet scattering**



HR 8799 Planetary System  
(Sept. 2008)



By Keck, Gmini, AO (Marois et al. 2008)

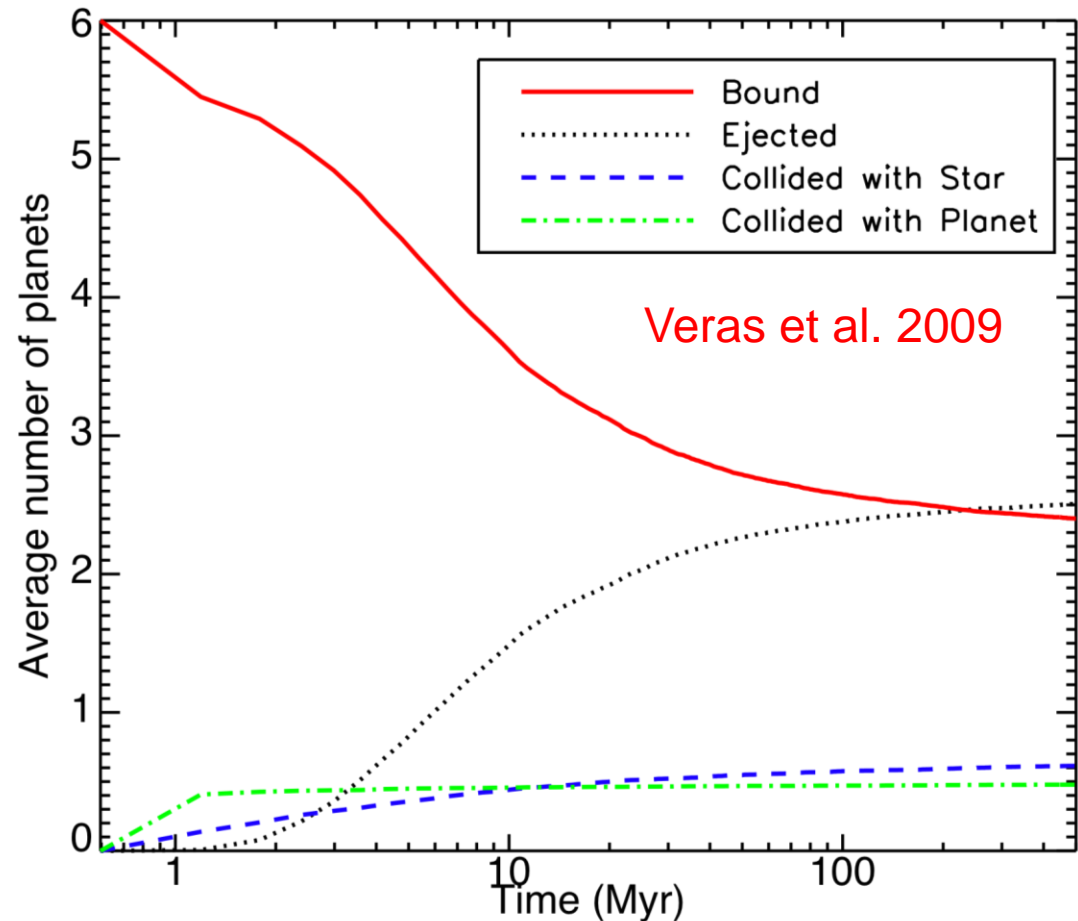
$M_{\text{host}} = 1.5 M_{\text{sun}} (\text{A typ})$

$D \sim 39 \text{ pc}$

0.1 billion years old

$M_p = 10, 10 \text{ and } 7 M_J$

$a = 24, 37 \text{ and } 67 \text{ AU};$  ( $a_{\text{Neptune}} = 30 \text{ AU}$ )



• half planets ejected after  $10^7 \text{ yr}$

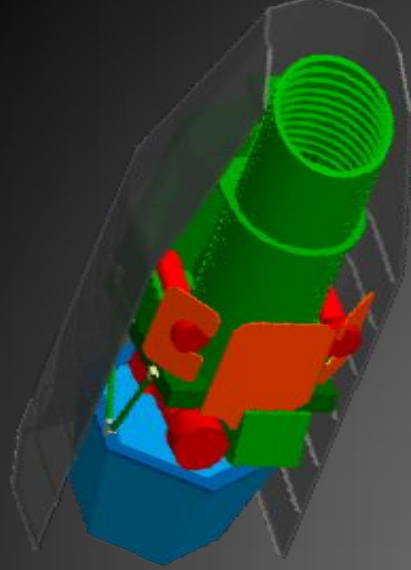


• Free floating



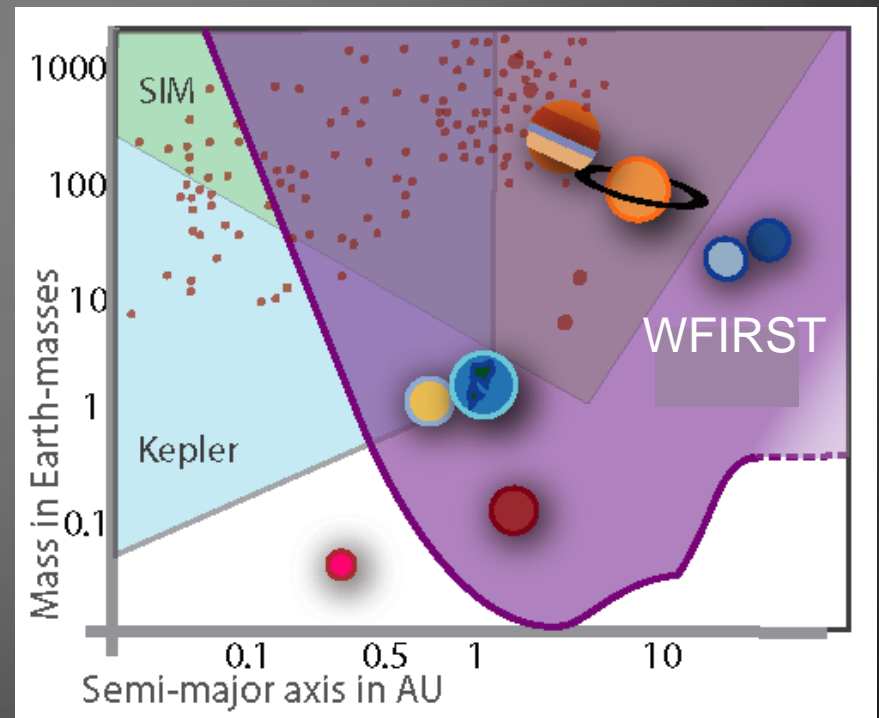
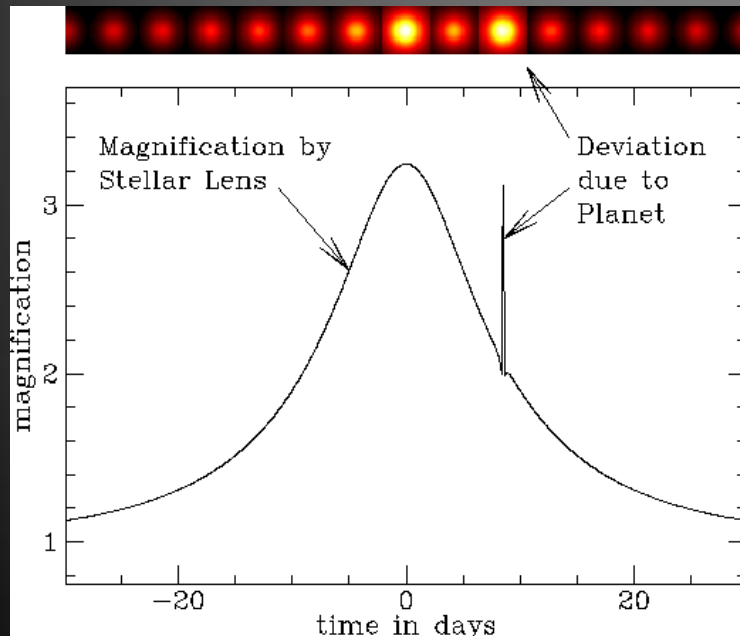
• Microlensing can find





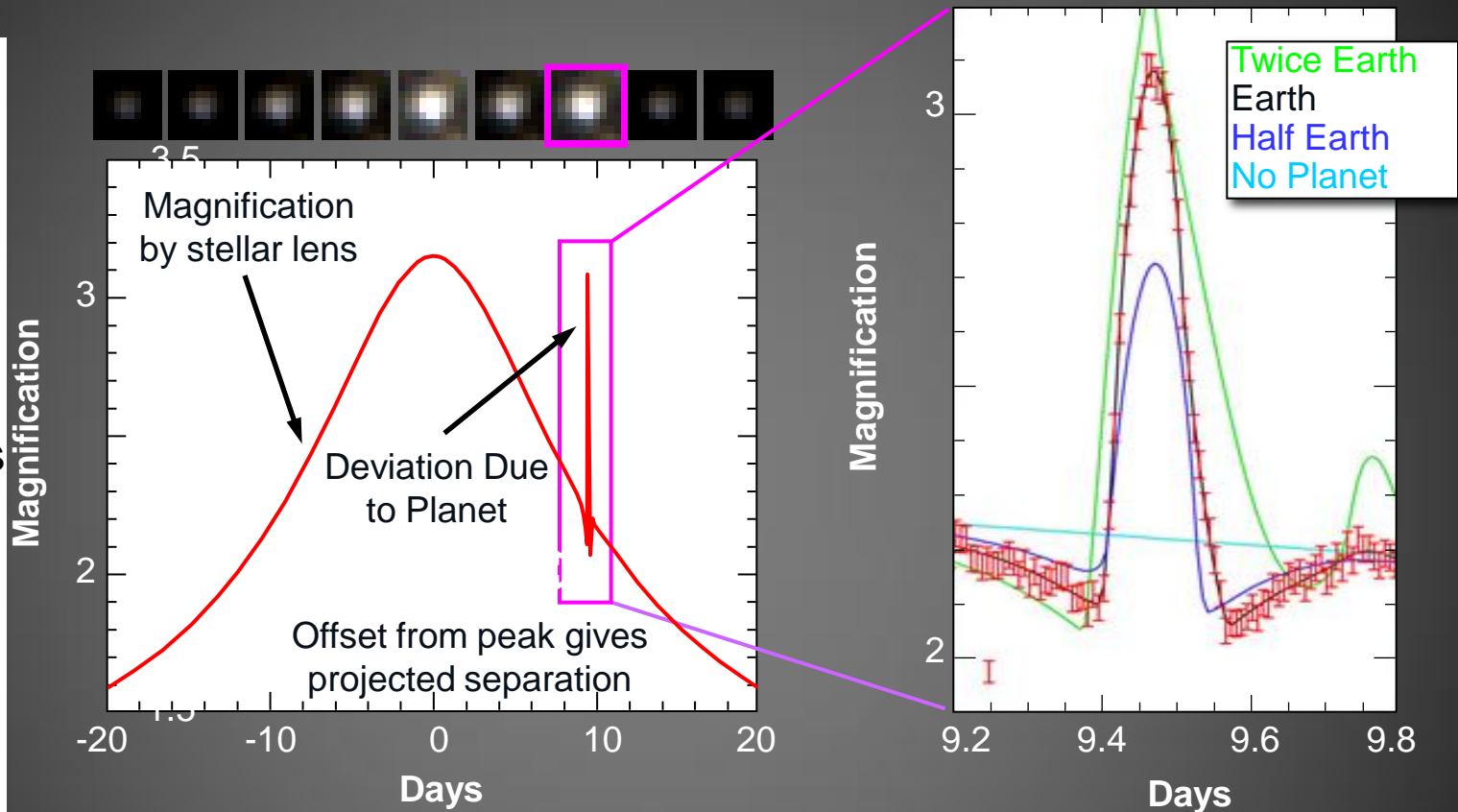
# The WFIRST Microlensing Exoplanet Survey:

Recommended by ASTRO 2010  
Decadal report



# Simulated WFIRST Planetary Light Curves

Time-series photometry is combined to uncover light curves of background source stars being lensed by foreground stars in the disk and bulge.

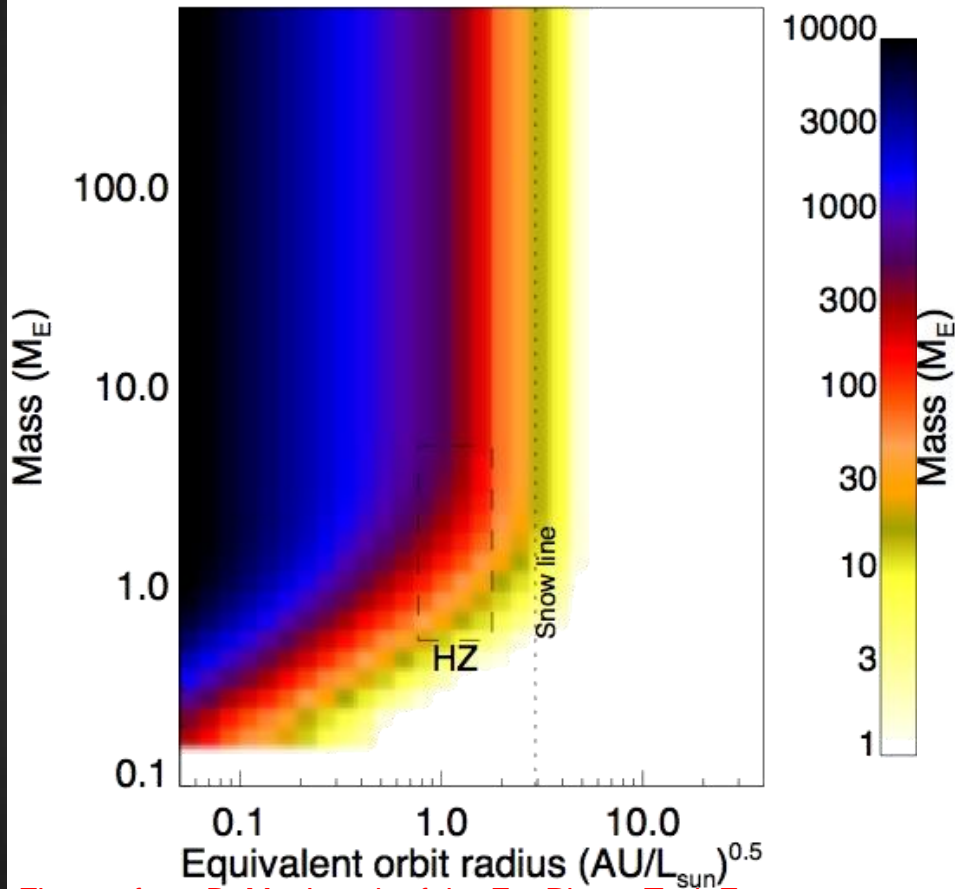


Planets are revealed as short-duration deviations from the smooth, symmetric magnification of the source due to the primary star.

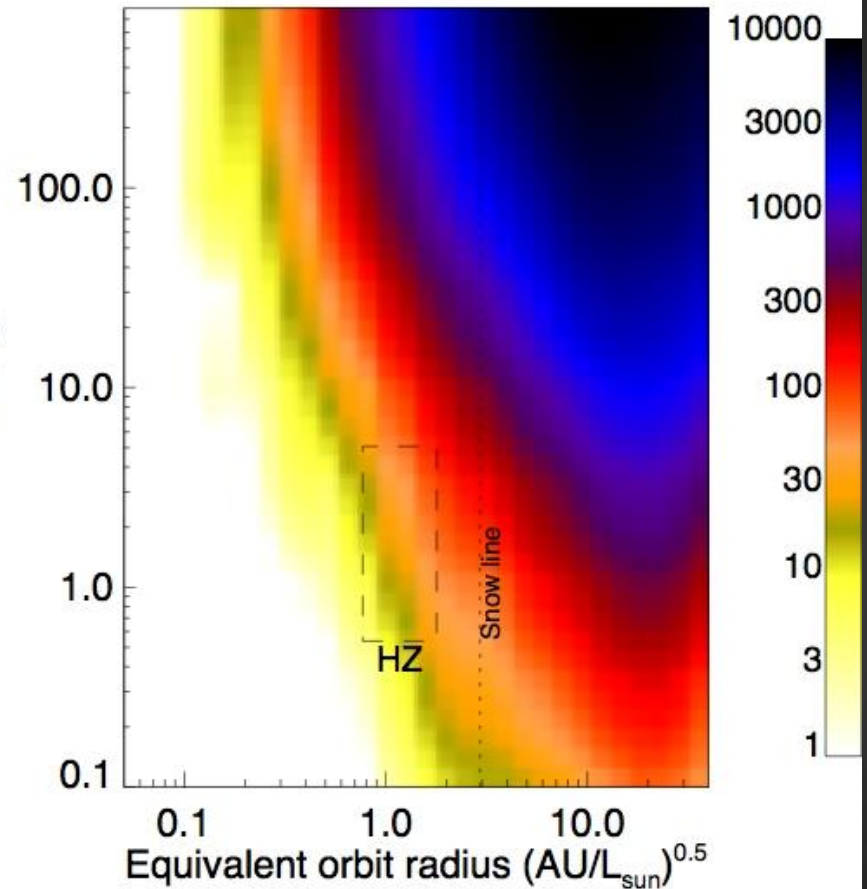
Detailed fitting to the photometry yields the parameters of the detected planets.

# Kepler vs. WFIRST

Kepler 6yr



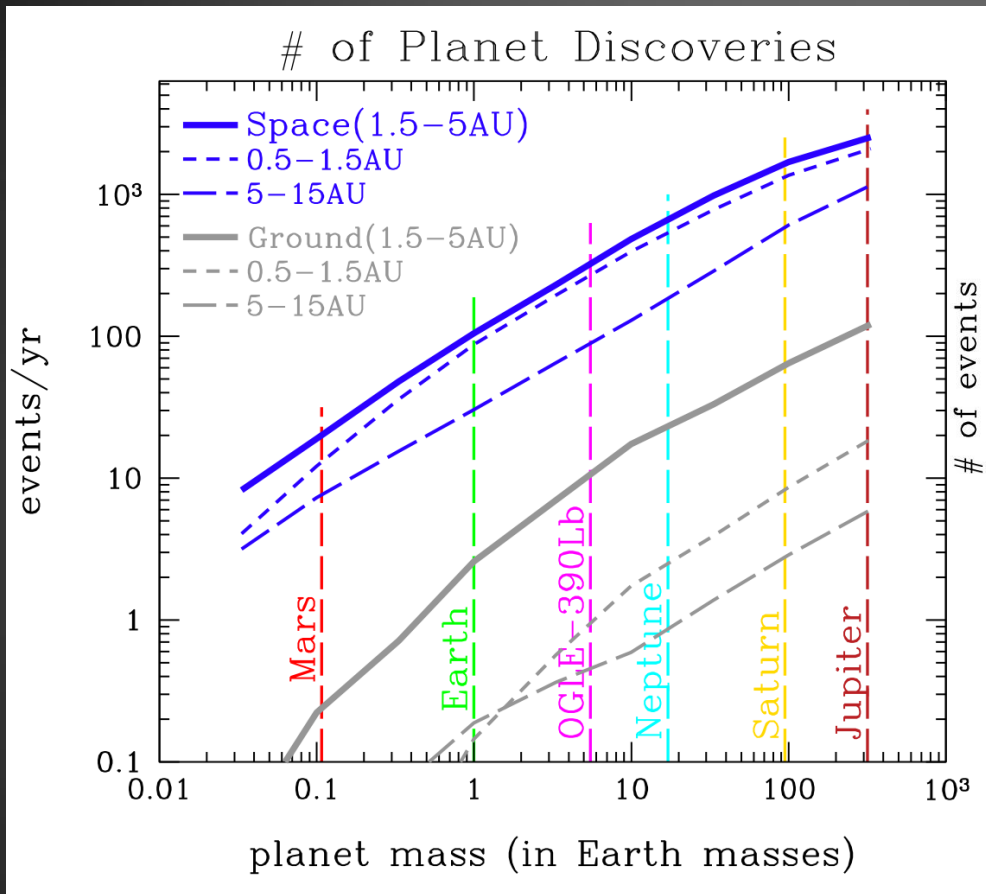
WFIRST – w/ extended mission



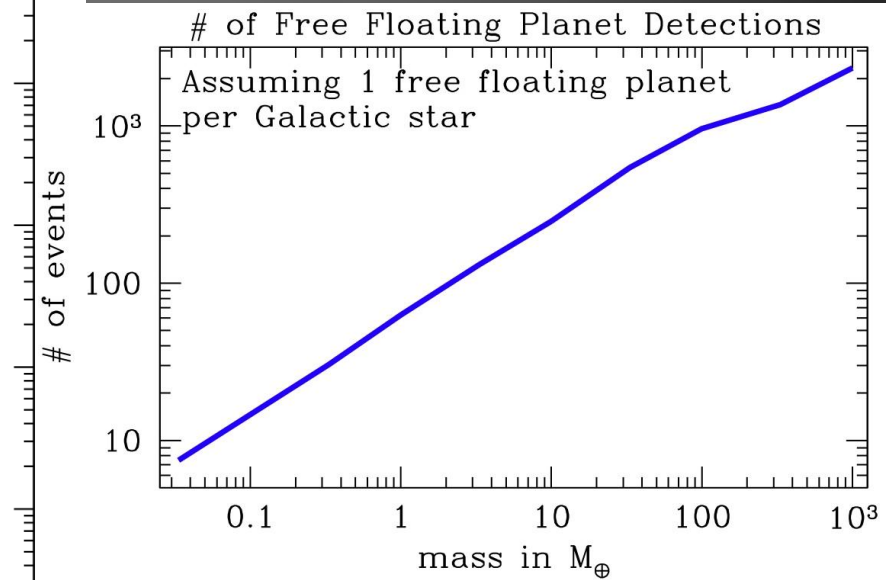
Figures from B. MacIntosh of the ExoPlanet Task Force

Complete the census of planetary systems in the Galaxy

# WFIRST's Predicted Discoveries



~3000 exoplanets  
 ~300 sub Earth-mass Planets,  
 >25 habitable planets  
 ( 0.5-10  $M_{\text{Earth}}$ , 0.72-2.0 AU )  
 around FGK stars

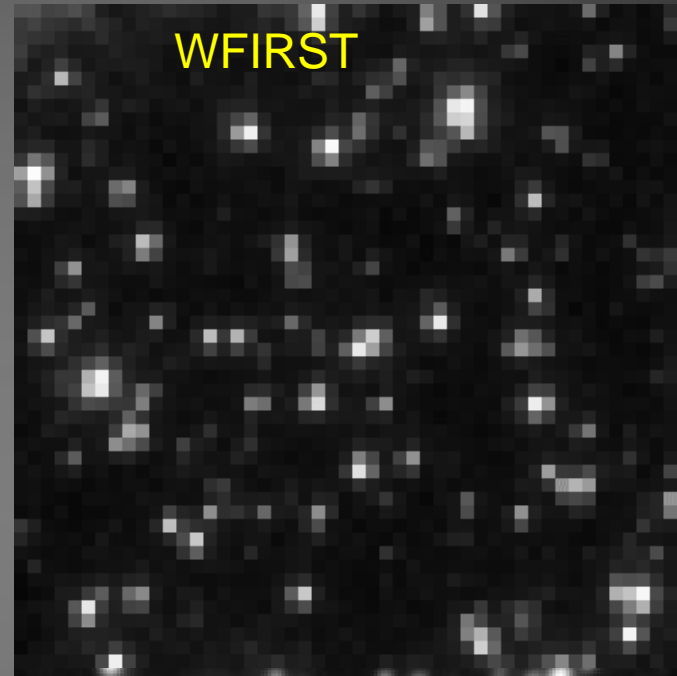
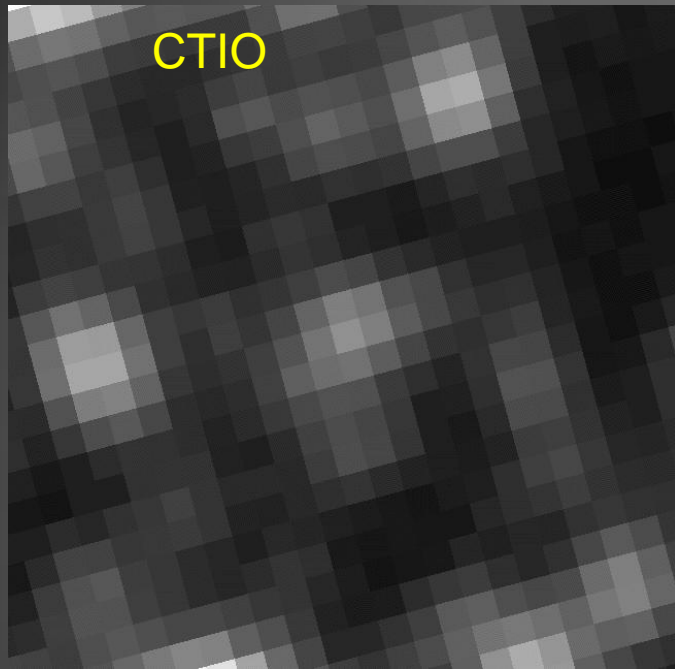


~2000 FFP !  
 ~190 sub Earth-mass FFP!  
 (>30 Earth-mass FFP ! )

Free-floating rocky planets may have liquid water, [Stevenson \(1999\)](#)



# Ground-based confusion, space-based resolution



- Space-based imaging needed for high precision photometry of main sequence source stars (at low magnification) and lens star detection
- High Resolution + large field + 24hr duty cycle => Microlensing Planet Finder (MPF)
- Space observations needed for sensitivity at a range of separations and mass determinations

# Summary

- Free-floating planets are 1.8 times as common as main sequence stars (at least same order), and 1.5 times as common as bound planets.
- They may have formed in proto-planetary disks and subsequently scattered into unbound or very distant orbits
- They inform us not only the number of planets that survived in orbit, but also planets that formed earlier and scattered.  
→ important for planetary formation theory
- WFIRST will detect >30 Earth-mass FFP